

WARRINGTON Borough Council



To: **Executive Board**

Professor Steven Broomhead
Chief Executive

Councillors:

**T O'Neill (Chair), M Hannon (Deputy Chair),
R Bowden, L Dirir, C Froggatt, K Hannon,
D Keane, H Patel, P Wright**

Town Hall
Sankey Street
Warrington
WA1 1UH

28 February 2014

Warrington Borough Council Executive Board Meeting
Monday, 10 March 2014 at 6.30pm
Council Chamber, Town Hall

Contact: Christine Oliver, Democratic and Member Services
(Tel: 01925 442104; Email: coliver@warrington.gov.uk)

AGENDA

Part 1

Items during the consideration of which the meeting is expected to be open to members of the public (including the press) subject to any statutory right of exclusion.

1. **Code of Conduct – Declaration of Interests**
Relevant Authorities (Disclosable Pecuniary Interests)
Regulations 2012

Members are reminded of their responsibility to declare any disclosable pecuniary or non-pecuniary interest which they have in any item of business on the agenda no later than when the item is reached.

2. **Minutes**

Page 1

Minutes of the meeting of the Executive Board held on 10 February 2014.

3. **Executive Decisions - Forward Plan**

Page 9

Report of Solicitor to the Council and Assistant Director, Corporate Governance.

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| 4. <u>Performance Report – Quarter 3 2013/14</u> | Page 21 |
| <p>Report of Councillor H Patel, Executive Board Member, Personnel and Communications.</p> | |
| 5. <u>Budget Monitoring 2013/14 –Quarter 3 Update</u> | Page 35 |
| <p>Report of Councillor R Bowden, Executive Board Member, Corporate Resources and Assignments.</p> | |
| 6. <u>Capital Programme Monitoring 2013/14 – Quarter 3 (September - December) (Forward Plan No 043/13)</u> | Page 43 |
| <p>Report of Councillor R Bowden, Executive Board Member, Corporate Resources and Assignments.</p> | |
| 7. <u>Allotments Task Group</u> | Page 79 |
| <p>Report of Councillor D Keane, Executive Board Member, Environment and Public Protection.</p> | |
| 8. <u>James Lee House Contract Award (Forward Plan No 066/13)</u> | Page 85 |
| <p>Report of Councillor P Wright, Executive Board Member, Health and Wellbeing and Adult Services.</p> | |
| 9. <u>Verve Place – Waver of Financial Regulations and Direct Contract Award (Forward Plan No 067/13)</u> | Page 91 |
| <p>Report of Councillor P Wright, Executive Board Member, Health and Wellbeing and Adult Services.</p> | |
| 10. <u>Gorse Covert Building Improvements (Forward Plan No 059/13)</u> | Page 105 |
| <p>Report of Councillor P Wright, Executive Board Member, Health and Wellbeing and Adult Services.</p> | |

Part 2

Items of a “confidential or other special nature” during which it is likely that the meeting will not be open to the public and press as there would be a disclosure of exempt information as defined in Section 100I of the Local Government Act 1972.

The following information comprises the formal notice under Paragraph 5(4) of the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012 that a decision has been taken to hold this part of the meeting in private. Information is also provided against each item heading about the reasons for holding this part of the meeting in private, any representations received and the response to those representations.

11. **Gorse Covert Building Improvements**
(Forward Plan No 059/13)

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Report of Councillor P Wright, Executive Board Member, Health and Wellbeing and Adult Services.

Reasons for Considering in Private:

Exempt information - Category 3, Schedule 12A, Local Government Act 1972.

Representations Received:

Nil

Response to Representations:

Nil

12. **Stadium Quarter Regeneration Scheme Phase 1 – Letting of**
Land to Warrington University Technical College Trust
(Forward Plan No 073/13)

Page 113

Report of the Leader of the Council, Councillor T O'Neill.

Reasons for Considering in Private:

Exempt information - Category 3, Schedule 12A, Local Government Act 1972.

Representations Received:

Nil

Response to Representations:

Nil

13. **Housing Investment – Plus Dane Group**
(Forward Plan No 070/13)

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Report of Councillor R Bowden, Executive Board Member, Corporate Resources and Assignments.

Reasons for Considering in Private:

Exempt information - Category 3, Schedule 12A, Local Government Act 1972.

Representations Received:

Nil

Response to Representations:

Nil

**14. Development of Great Sankey Neighbourhood Hub
(Forward Plan No 048/13)**

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Report of Councillor R Bowden, Executive Board Member,
Corporate Resources and Assignments.

Reasons for Considering in Private:

Exempt information - Category 3, Schedule 12A, Local
Government Act 1972.

Representations Received:

Nil

Response to Representations:

Nil

**NB. Please note agenda item 14 is to be considered under the
urgency provisions for private meetings in the access to
information rules, under Regulation 5(6) of the (Meetings and
Access to Information) Regulations 2012.**

7 MARCH 2014 – ITEM ADDED TO AGENDA

**15. Hut Manufacturing and Logistic Development at Omega
(Forward Plan No 085/13)**

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Report of Councillor R Bowden, Executive Board Member,
Corporate Resources and Assignments.

Reasons for Considering in Private:

Exempt information - Category 3, Schedule 12A, Local
Government Act 1972.

Representations Received:

Nil

Response to Representations:

Nil

NB. Please note agenda item 15 is to be considered under:

- **The urgency provisions for an urgent item in the access to information rules, under Regulation 11 of the (Meetings and Access to Information) Regulations 2012; and**
- **The urgency provisions for private meetings in the access to information rules, under Regulation 5(6) of the (Meetings and Access to Information) Regulations 2012.**

If you would like this information provided in another language or format, including large print, Braille, audio or British Sign Language, please call 01925 443322 or ask at the reception desk in Contact Warrington, Horsemarket Street, Warrington



EXECUTIVE BOARD – 10 February 2014

Present:

Executive Board Members

Councillors:

Leader

T O'Neill

Deputy Leader

M Hannon

Corporate Resources & Assignments

R Bowden

Highways, Transportation & Climate Change

L Dirir

Children and Young People' Services

C Froggatt

Leisure, Community and Culture

K Hannon

Environment and Public Protection

D Keane

Personnel and Communications

H Patel

Health and Wellbeing & Adult Services

P Wright

EB 117 Apologies

Nil.

EB 118 Code of Conduct – Declaration of Interest

Nil.

EB 119 Minutes

Decision – That the Minutes of the meeting of the Executive Board held on 13 January 2014 be signed by the Leader as a correct record.

EB 120 Exclusion of the Public (including the Press)

Decision – That members of the public (including the press) be excluded from the meeting by reasons of the confidential nature of the following items of business to be transacted being within category 3 and 5 of Schedule 12A Local Government Act 1972 (Rule 10 of the Access to Information Procedure Rules) and the public interest in disclosing the information is outweighed by the need to keep the information confidential.

EB 121 Residential and Nursing Fee Review (Forward Plan Decision No. 047/13)

(EB 122) refers.

Part 1

EB 122 Residential and Nursing Fee Review (Forward Plan Decision No. 047/13)

The Executive Board considered a report of Councillor P Wright, Executive Board Member, Health and Wellbeing and Adult Services which sought

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authority to enter into negotiations with providers of adult residential and nursing care homes for a further extension of the current framework contract from 1 April 2014 until 31 March 2015, with the appropriate fee increase required to ensure continuity of care and nursing home provision until that point. An extension was required to give the Council time to complete the required processes for fee setting before determining the fees it would offer under a new 3 year (plus 1 year optional extension) framework agreement to commence from 1 April 2015.

The report explained the nature of the statutory duties with which the Council must comply when considering, negotiating and setting fees payable to providers of care homes and, explained the procedure and timescale for the Council to agree the framework arrangements to commence from 1 April 2015.

The Executive Board was asked to consider the contents of the Part 2 report on this issue to inform their decision in relation to the recommendations of the report.

Decision – That the Executive Board agreed to –

- (1) delegate authority to the Executive Director, Families and Wellbeing, following consultation with the Executive Member, Health and Wellbeing and Adult Services; the Section 151 Officer and Solicitor to the Council to negotiate and agree with providers:
 - (a) A further extension of the current framework agreement for Residential and Nursing care placements, for the period 1 April 2014 to 31 March 2015, and
 - (b) An appropriate uplift to the current fees for the above period.
- (2) require Officers to continue with the full review of the fees for residential and nursing care to establish the fee levels payable from 1 April 2015.
- (3) require Officers to address the implications for the Council's budget-setting and planning process for the next and future financial years and ensure the Council's budget setting arrangements:
 - (a) appropriately budget for increases in its framework fees for the 2014/15 contract period; and
 - (b) include arrangements to ensure that the Authority was capable of meeting the statutory duties detailed in the report with regard to fees set from April 2015 and throughout the duration of the new framework arrangements.

Reasons for Decision:

- (1) To enable officers to enter into negotiations with providers of adult residential and nursing care homes for a further extension of the current framework contract from 1 April 2014 until 31 March 2015, to ensure continuity of care and nursing home provision until that point.
- (2) To note the next steps to be taken in accordance with the report.

EB 123 Executive Decisions - Forward Plan

The Executive Board considered a report of the Solicitor to the Council and Assistant Director, Corporate Governance on the contents of the Executive Decisions - Forward Plan for the period 1 March 2014 – 30 June 2014.

Decision – That the report be noted and the following changes be made:

- 066/13 Approval to directly award a 1 year contract to The Salvation Army for the provision of supported accommodation to single homeless males at James Lee House.
- 067/13 Approval to directly award a 1 year contract to Your Housing Group for the provision of supported accommodation to young people at risk at Verve Place.

EB 124 2014/2015 Medium Term Financial Plan, Draft Revenue Budget and Capital Programme

The Executive Board considered a report of Councillor R Bowden, Executive Board Member Corporate Resources and Assignments which stated that under Regulation 4 of the Local Authorities (Functions and Responsibilities) (England) Regulations 2000, the Executive Board had overall responsibility for preparing the draft Budget and Capital Programme for submission to Council.

The Executive Board took a view on the level of council tax to be recommended to the Council. In arriving at this view, the key issues to be considered included:

- Central Government had announced a temporary Council Tax Freeze Grant of 1%, equivalent to £0.7m, which was payable for two years.
- The estimate of the retained Business Rates for 2013/14 and 2014/15 and the associated funding risk.
- The extent to which members accept the budget pressures and savings options identified in the draft revenue budget
- The results of the budget consultation with stakeholders

The report presented the Council's proposed budget for 2014/15 following the receipt of the final Local Government Finance Settlement, the report considered –

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Proposed 2014/15 Revenue Budget
Proposed 2014/15 – 2017/18 Medium Term Financial Plan
Proposed 2014/15 – 2016/17 Capital Programme
Proposed 2014/15 – Council Tax Level
Proposed 2014/15 – Schools Budget
Budget risk assessment and adequacy of reserves

Decision – That the Executive Board agreed to –

- (1) note the result of the consultation with the public, partners and business community in setting the 2014/15 – 2017/18 MTFP and reflect if any further changes to the budget proposals should be made for presentation to Full Council.
- (2) propose that the budgeted net expenditure for the financial year 2014/15 be set at £145.453m (net of an indicative Dedicated Schools Grant of £147.7) requiring band D council tax to be increased by 1.98% to £1,182.09.
- (3) propose that the 2014/15 – 2016/17 Capital Programme be approved, including £46.164m of new prudential borrowing.
- (4) consider the Director of Finance & Information Services' judgement on the robustness of the estimates made for the purposes of the budget calculation and the adequacy of the proposed financial reserves. Following this consideration the Executive Board determines that the estimates are robust for this purpose and that the proposed financial reserves are adequate.
- (5) note that all Executive Directors and Portfolio holders have identified their required savings options to balance the budget (Appendix 2).
- (6) note at the Audit & Corporate Governance Committee meeting of 16 January 2014, the 2014/15 tax base was set at 62,499.
- (7) confirm that the council tax levels contained in section 8 of this report, be incorporated in the formal council tax resolution to be agreed by Council at its meeting of 3 March 2014.
- (8) authorise the Director of Finance & Information Services, following consultation with the Executive Board Member, Corporate Resources and Assignments, to incorporate the Police and Crime Commissioner and Cheshire Fire and Rescue precept into the Council Tax Resolution to be recommended to Council on 3 March 2014.

Reason for Decision:

That the Executive Board approves the budget recommendations included in this report and refers the budget to full Council on 3 March 2014.

EB 125 Pay Policy statement 2014/15 (Forward Plan Decision No. NKD-064/13)

The Executive Board received a report of Councillor H Patel, Executive Board Member, Personnel and Communications which provided information on the Council's Pay Policy Statement for 2014/15 prior to agreement at full Council.

Decision – That the Executive Board agreed to –

- (1) submit the Pay Policy Statement to full Council for final ratification.
- (2) Agree that the 2015/16 paper be taken directly to Full Council by the appropriate Executive Board member.

Reasons for Decision:

- (1) The reason that the Pay Policy statement was recommended for acceptance for referral to full Council was that it contained factual information about the Council's Pay Policy for the coming financial year and this had been modelled on the previously ratified policy for 2013/14 with amendments detailed in 4.2.
- (2) As the approval of the Pay Policy was a responsibility of Full Council, it was recommended from next year, the statement was taken directly to Full Council by the Executive Board Member, Personnel and Communications rather than Executive Board being responsible to recommend any changes to the statement. Any significant changes would continue to be made in line with legislation and the Council's Constitution.

EB 126 Proposal to Remove Sixth Form – Culcheth High School (Forward Plan Decision No. 060/13)

The Executive Board considered a report of Councillor C Froggatt, Executive Board Member, Children and Young People's Services which advised on the outcome of consultation on a proposal to remove the sixth form at Culcheth High School and sought agreement to the next steps of the process.

Cllr Froggatt referred to the information received by Cllr Vobe on the matter at the meeting.

The Executive Board were provided with full details of the reasons for proposing the change as detailed in the report.

Decision – That the Executive Board agreed to publication of a statutory notice setting out the intention to remove the sixth form from Culcheth High School with effect from 31 August 2015.

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Reason for Decision:

In order to support the Governing Body of Culcheth High School with their ambition to move from good to outstanding through more cost effective use of financial resources.

EB 127 Agreement to Enter into Contract for New Facilities for Services Supporting Children with Disabilities (Forward Plan Decision No. 063/13)

The Executive Board considered a report of Councillor C Froggatt, Executive Board Member, Children and Young People's Services which sought agreement to enter into contract for design and construction of new facilities for services which support children with disabilities and their families.

Decision – That the Executive Board agreed to –

- (1) the appointment of ISG construction to deliver new facilities for integrated support services for children with disabilities and families;
- (2) the delegation of the decision to accept an Agreed Maximum Price for the contract award, up to a maximum figure of £528,100 to the Executive Director, Families and Wellbeing in consultation with Director of Finance and Information Services and the appropriate Executive Member.

Reason for Decision:

To enable delivery of one of the Council's priority capital investment projects.

EB 128 Affordable Housing Programme New Build (Forward Plan Decision No. 061/13)

The Executive Board considered a report of Councillor D Keane, Executive Board Member, Environment & Public Protection which sought approval for the business case to build 56 affordable homes for elderly people within the Borough.

Decision – That the Executive Board agreed to –

- (1) approve the business case and capital works for building 56 affordable homes for older people within the borough at Penketh Court and Warrington Road, Culcheth;
- (2) approve the redevelopment of Penketh Court with 54 one and two bed apartments for rent and 2 two bed bungalows at Warrington Road, Culcheth;
- (3) delegate to the Executive Director, Families and Wellbeing, in conjunction with the Section 151 Officer and Borough Solicitor and following consultation with the Executive Board Member, Environment and Public Protection, the decisions

- in relation to the development and implementation of proposals for the future management/ownership arrangements be other than by the local authority.
- (4) seek a further report should the future ownership arrangements be other than by the local authority.

Reason for Decision:

To support housing regeneration in Warrington and provide accommodation for an ageing population.

EB 129 Armed Forces Task Group (Forward Plan Decision No. NKD065/13)

The Executive Board considered a report of Councillor D Keane, Executive Board Member, Environment and Public Protection which described the recent work of the Armed Forces Task Group.

Decision – That the Executive Board agreed to –

- (1) note the work undertaken by the Armed Forces Task Group;
- (2) consider those initiatives to which it would wish to support and develop further.

Reason for Decision:

To discharge the responsibilities of the Task Group as determined by the Executive Board.

EB 130 Exclusion of the Public (including the Press)

Decision – That members of the public (including the press) be excluded from the meeting by reasons of the confidential nature of the following items of business to be transacted being within category 3 of Schedule 12A Local Government Act 1972 (Rule 10 of the Access to Information Procedure Rules) and the public interest in disclosing the information is outweighed by the need to keep the information confidential.

EB 131 Contract for the Provision of Public Health Services 0 – 19 Years Old (Forward Plan Decision No. 072/13)

The Executive Board considered a report of Councillor P Wright, Executive Board Member, Health and Wellbeing and Adult Services which provided information in relation to recent developments in respect of the award of the above contract and sought agreement to take appropriate action to respond to these.

Decision – That the Executive Board agreed to –

- (1) delay the implementation of decision number EB 113 until completion of due diligence investigations;

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- (2) grant delegated authority to the Executive Director, Families and Wellbeing, following consultation with the Executive Board Member Health, Wellbeing and Adult Services and in conjunction with the Solicitor to the Council and Assistant Director, Corporate Governance subject to the results of the due diligence investigations to either:

- (a) award the contract to Liverpool Community NHS Trust if full assurance could be secured; or
- (b) retender for the contract.

Delegated authority to expire on 31 March 2015.

- (3) grant a waiver to the Council's Contract Procedure Rules, such that the existing contract for the services may be extended up to 30 September 2014 in the first instance and up to 31 March 2015 if required.

Reasons for Decision:

- (1) To ensure continuation of the existing service, such that officers may conduct further investigations before contract award.
- (2) To delegate authority to award the contract to Liverpool Community NHS Trust on a date to be determined prior to 31 March 2015 (assuming the new issues are addressed to the satisfaction of officers and the portfolio holder for Health and Wellbeing and Adult Services) or retender if necessary.

Signed.....

Dated.....

WARRINGTON BOROUGH COUNCIL

EXECUTIVE BOARD – 10 March 2014

Report of The Solicitor to the Council and Assistant Director Corporate Governance

Executive Director: Steven Broomhead, Chief Executive

Senior Responsible Officer/Report Author: Bryan Magan, Head of Democratic and Member Services

Author:

Contact Details: **Email Address:** bmagan@warrington.gov.uk **Telephone:** 01925 442120

Key Decision No. N/A

Ward Members: All

TITLE OF REPORT: EXECUTIVE DECISIONS - FORWARD PLAN

1. PURPOSE

1.1 To consider the current Forward Plan covering the period 1 April – 31 July 2014.

2. CONFIDENTIAL OR EXEMPT

2.1 The report is not confidential or exempt.

3. INTRODUCTION AND BACKGROUND

3.1 Key Decisions are Executive decisions of the authority which are decisions of the Executive Board or an Officer under delegated powers which are likely to –

- Result in Warrington Borough Council incurring expenditure, making savings or vireing £250,000 or more having regard to the Local Authority budget for the service or functions to which the decision relates, or
- Be significant in terms of its effects on communities living or working within Warrington Borough Council's area comprising two or more Wards.

3.2 To comply with the legislation 'Local Authorities (Executive Arrangements) (Meetings and Access to Information)(England) Regulations 2012', the Council is required –

- (1) to publish a notice of its intention to discuss confidential or exempt items at least 28 days in advance of the meeting; and
- (2) to publish a further notice at least 5 clear days' before a private meeting which must include a statement of the reasons for the meeting to be held in private, details of any representations received and a statement of its response to any such representations.

4. THE REPORT

- 4.1 The current Executive Decisions - Forward Plan is attached at Appendix A.
- 4.2 The following amendments/changes to the Forward Plan are reported for information:

FP NO.	ITEM
	Changes to the Forward Plan – 10 March 2014
074/13	St Peter's Community Centre – Removed from the Forward Plan. Reason: Further consideration of proposals required before development plans are finalised.
048/13	Great Sankey Neighbourhood Hub – Now Part 2 confidential Notice of Private meeting to be published.
066/13	Approval to directly award a one year contract to The Salvation Army for the provision of supported accommodation to single homeless males at James Lee House. The wording 'award a 3 year (plus 2 optional 1 year extension) contract' has been removed and replaced with 'a one year contract'.
067/13	Approval to directly award a one year contract to Your Housing Group for the provision of supported accommodation to young people at Verve Place. The wording 'award a 3 year (plus 2 optional 1 year extensions) contract' has been removed and replaced with 'a one year contract'.
043/13	Delivering Wellbeing in Bewsey and Dallam - Moved from 10 March to 14 April 2014. Reason: Negotiations ongoing with third party.
	New Items – 14 April 2014
075/13	Better Care Fund
076/13	To seek delegated authority to award a tender for the Future Delivery of Parking Services.
077/13	Adult Social Care Provider Services – Social Enterprise Development Update.
078/13	Quarter 4 – 2013/14 Capital Programme Monitoring Report
079/13	Local Wildlife Site Report

080/13	Walton Estate – Restoration and Regeneration – Heritage Lottery Fund
	Quarterly update report from the Strategic Procurement Panel
	Performance Report – Quarter 4 2013/14
	Strategic Risk Report – Quarter 4 2013/14
	Budget Monitoring 2013/14 –Quarter 4 Update
	Officer Decisions (agreed at 10 February Executive Board meeting) – Decision Dates to be notified
047/13	Residential and Nursing Fee Review
063/13	Agreement to enter into contract for new facilities for services supporting children with disabilities
061/13	Affordable Housing Programme New Build
072/13	Contract for the Provision of Public Health Services – 0 – 19 Years Old

5. FINANCIAL CONSIDERATIONS

5.1 None.

6. RISK ASSESSMENT

6.1 N/A.

7. EQUALITY AND DIVERSITY / EQUALITY IMPACT ASSESSMENT

7.1 Democratic and Member Services has an up to date Equalities Impact Assessment in place for its functions and policies and has produced an Action Plan to deal with key matters arising. There are no specific equalities issues in relation to the content of this report.

8. CONSULTATION

8.1 N/A.

9. REASONS FOR RECOMMENDATION

9.1 The report is submitted for information and comment.

10. RECOMMENDATION

10.1 That the Executive Board receives the contents of the Forward Plan and make comments as appropriate.

11. BACKGROUND PAPERS

Papers held within Democratic and Member Services about items for inclusion and changes to the Forward Plan.

Contacts for Background Papers:

Name	E-mail	Telephone
Julie Ramskill	jramskill@warrington.gov.uk	01925 442114



Updated: 27 February 2014

EXECUTIVE DECISIONS – FORWARD PLAN

1 June 2014 – 31 July 2014

Report of the Solicitor to the Council and Assistant Director,
Corporate Governance

This is formal notice under the Local Authorities (Executive Arrangements) (Meetings and Access to Information)(England) Regulations 2012 of Key Decisions due to be taken by the Authority and that those parts of the Executive Board meeting identified in this Forward Plan will be held in private because the agenda and reports for the meeting will contain confidential or exempt information as defined in the Regulations.

Contact Information:
Democratic & Member Services
Town Hall
Warrington
WA1 1UH
Email: jramskill@warrington.gov.uk
Tel: 01925 442114/01925 442104

What is the Forward Plan?

The Forward Plan contains all the key decisions the Council expects to take over the next four months. It will be refreshed regularly and will give at least 28 days' notice of any Key Decisions and, if applicable, the Executive Board's intention to discuss an item in private and the reason for this.

What is a Key Decision?

Key Decisions are Executive decisions of the authority which are decisions of the Executive Board or an Officer under delegated powers which are likely to –

- Result in Warrington Borough Council incurring expenditure or the making of savings of £250,000 or more, having regard to the Local Authority budget for the service or function to which the decision relates, or
- Be significant in terms of its effects on communities living or working within Warrington Borough Council's area comprising two or more Wards.

For information, the Forward Plan also includes some other matters expected to come before the Executive Board or that the Executive Board is likely to recommend to full Council whether or not they may give rise to Key Decisions.

What does the Forward Plan tell me?

The Plan gives information about:

- what key decisions are to be made in the next four months;
- the matter in respect of which the decision is to be made;
- who will make the key decisions;
- when those key decisions are likely to be made;
- what documents will be considered;
- who you can contact for further information.

Who takes Key Decisions?

Under the Authority's Constitution, Key Decisions are taken by the Executive Board or individual officers acting under delegated powers.

Most Key Decisions are taken at public meetings of the Executive Board. Executive Board meets at 6.30 pm at the Town Hall, Warrington.

Further Information and Representations about items proposed to be heard in Private

Names of contact officers are included in the Plan and can be reached via (01925) 442114. If you are unsure, please contact Democratic & Member Services on the same number and staff there will be able to assist you. If you wish to make representations about an item proposed to be heard in private, you should contact Democratic and Member Services by no later than six clear working days before the meeting.

Last forward plan entry No. 083/13

If you have any questions about any of the items listed please contact Julie Ramskill on 01925 442114.

Key Decisions – 14 April 2014

Decision Reference Number	Date added to the Forward Plan	Item	£s to be spent/saved /vired	Statement of Reason why the item of business is private	Wards Affected	Lead Executive Board Member	List of Policy/Reference Documents	Directorate and Contact for Further Information	Key Decision (Y/N)
043/13	28/10/13	Delivering Wellbeing in Bewsey and Dallam	Over 250K	**Part 2 confidential (see notes below)	Bewsey & Whitecross	Councillor K Hannon Leisure, Community and Culture		Economic Regeneration, Growth & Environment Stewart Brown Dave Cowley Deborah McArdie Tel 01925 442850 sbrown@warrington.gov.uk	Yes
044/13	14/11/13	Transfer of Active Warrington Team to LiveWire	approx £275k budget to transfer	N/A	All	Councillor K Hannon Leisure, Community and Culture		Resources and Strategic Commissioning Simon Kenton Tel: 01925 444321 skenton@warrington.gov.uk	Yes
075/13	11/2/14	Better Care Fund		N/A	All	Councillor P Wright, Health & Wellbeing and Adult Services		Resources & Strategic Commissioning Mike Alsop Tel 01925 444146 malsop@warrington.gov.uk Simon Kenton Tel 01925 444091 skenton@warrington.gov.uk	Yes
076/13	12/2/14	To seek delegated authority to award tender for the Future Delivery of Parking Services.	Up to £600k/annum	N/A	All	Councillor L Dirir Highways, Transportation & Climate Change		Economic Regeneration, Growth and Environment David Rostron Tel: 01925 442352 drostron@warrington.gov.uk	Yes

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079/13	24/2/14	Local Wildlife Sites Report		N/A	All	Councillor D Keane, Environment & Public Protection		Economic Regeneration, Growth and Environment Helen Lacey Tel: 01925 442819 hlacey@warrington.gov.uk	Yes
080/13	27/2/14	Walton Estate Restoration and Regeneration – Heritage Lottery Fund	£2,000,000	N/A	Hatton, Stretton & Walton	Councillor K Hannon, Leisure, Community & Culture		Economic Regeneration, Growth and Environment Terry Hill/Rachel Waggett Tel: 01925 442630 rwaggett@warrington.gov.uk	Yes
081/13	27/2/14	Cabinet Works and Garven Place Regeneration Area		N/A	Bewsey & Whitecross	Councillor T O'Neill, Leader	Warrington Means Business – A Business Growth and Regeneration Programme for Warrington	Economic Regeneration, Growth and Environment Steve Park Tel 01925 443940 spark@warringtonandco.com	Yes
082/13	27/2/14	Bridge Street Quarter – Regeneration Scheme	Over £250K	**Part 2 confidential (see notes below)	Bewsey & Whitecross	Councillor T O'Neill, Leader	Warrington Means Business – A Business Growth and Regeneration Programme for Warrington	Economic Regeneration, Growth and Environment Steve Park Tel 01925 443940 spark@warringtonandco.com	Yes
Non Key Decisions – 14 April 2014									
NKD-068/13	17/1/14	Hot Food Takeaway Supplementary Planning Document (SPD)		N/A	All	Councillor D Keane, Environment & Public Protection	Local Development Framework	Economic Regeneration, Growth and Environment J McGrath Tel: 01925 442801 jmcgrath@warrington.gov.uk	No
NKD-069/13	17/1/14	Statement of Community Involvement 2014		N/A	All	Councillor D Keane, Environment & Public Protection	Local Development Framework	Economic Regeneration, Growth and Environment J McGrath Tel: 01925 442801 jmcgrath@warrington.gov.uk	No

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Information Items – 14 April 2014									
N/A	20/2/14	Quarterly update report from the Strategic Procurement Panel		N/A	All	Councillor R Bowden Corporate Resources and Assignments		Families and Wellbeing P O'Connor Tel: 01925 443905 poconnor@warrington.gov.uk	No
NO MEETING TO BE HELD IN MAY									
Key Decisions – 10 June 2014									
Decision Reference Number	Date added to the Forward Plan	Item	£s to be spent/saved /vired	Statement of Reason why the item of business is private	Wards Affected	Lead Executive Board Member	List of Policy/Reference Documents	Directorate and Contact for Further Information	Key Decision (Y/N)
019/13	17/7/13	Award of Contract for the Operation and Management of the Household Waste Recycling Centre	£800,000 (estimated) over 2 years	**Part 2 confidential (see notes below)	All	Councillor D Keane, Environment & Public Protection	Municipal Waste Management Strategy	Economic Regeneration, Growth and Environment P McHenry Tel: 01925 442654 pmchenry@warrington.gov.uk	Yes
077/13	18/2/14	Adult Social Care Provider Services – Social Enterprise Development Update			All	Councillor P Wright Health & Wellbeing & Adult Services		Families and Wellbeing S Reddy Tel: 01925 444251 sreddy@warrington.gov.uk	Yes
078/13	21/2/14	Quarter 4 – 2013/14 Capital Programme Monitoring Report		N/A	All	Councillor R Bowden Corporate Resources and Assignments		Resources and Strategic Commissioning Danny Mather Tel 01925 442344 dmather@warrington.gov.uk	Yes
083/13	28/2/14	Response to Statutory Notice – Removal of Sixth Form at Culcheth High School	N/A	N/A	Culcheth, Glazebury and Croft	Councillor C Froggatt Children and Young People's Services		Families and Wellbeing Hilary Smith Tel: 01925 442875 hsmith@warrington.gov.uk	Yes
Non Key Decisions – 10 June 2014									
Nil									

Agenda Item 3

Information Items – 10 June 2014									
	5/2/14	Executive Board Appointments to Outside Bodies 2014/15	N/A	N/A		Councillor T O'Neill Leader		Assistant Chief Executive Christine Oliver Tel 01925 442104 coliver@warrington.gov.uk	
	21/2/14	Performance Report – Quarter 4 2013/14		N/A	All	Councillor H Patel, Personnel and Communications		Resources and Strategic Commissioning Kathryn Griffiths Tel 01925 442797 kgriffiths@warrington.gov.uk	No
	21/2/14	Strategic Risk Report – Quarter 4 2013/14		N/A	All	Councillor H Patel, Personnel and Communications		Resources and Strategic Commissioning Kathryn Griffiths Tel 01925 442797 kgriffiths@warrington.gov.uk	No
	21/2/14	Budget Monitoring 2013/14 – Quarter 4 Update		N/A	All	Councillor R Bowden Corporate Resources and Assignments		Resources and Strategic Commissioning Danny Mather Tel 01925 442344 dmather@warrington.gov.uk	No
Key Decisions – 14 July 2014									
NIL									
Non Key Decisions – 14 July 2014									
Information Items – 14 July 2014									
NIL									

****Note: Part 2 confidential**

Exempt Information – Schedule 12A, Local Government Act 1972:

It is likely, in view of the nature of the business to be transacted or the nature of the proceedings, that if members of the public were present during that item, confidential information would be disclosed to them in breach of the obligation of confidence

OFFICER KEY DECISIONS

Decision Reference Number	Date added to the Forward Plan	Item	£s to be spent/saved /vired	Statement of Reason why the item of business is private	Wards Affected	Decision Maker and Contact for Further Information	Lead Executive Board Member	List of Policy/Reference Documents	Key Decision (Y/N)
Date Decision to be taken: week commencing – to be advised									
094/12	25/9/13	Future Delivery of Parking Services		N/A	All	Executive Director, Economic Regeneration, Growth and Environment	Councillor L Dirir Highways, Transportation & Climate Change		Officer
047/13	13/2/14	Residential and Nursing Fee Review		N/A	All	Executive Director, Families & Wellbeing	Councillor P Wright Health & Wellbeing & Adult Services		Officer
063/13	13/2/14	Agreement to enter into contract for new facilities for services supporting children with disabilities		N/A	All	Executive Director, Families & Wellbeing	Councillor P Wright Health & Wellbeing & Adult Services		Officer
061/13	13/2/14	Affordable Housing Programme New Build		N/A	All	Executive Director, Economic Regeneration, Growth and Environment	Councillor D Keane Environment & Public Protection		Officer
072/13	13/2/14	Contract for the Provision of Public Health Services – 0 – 19 Years Old		N/A	All	Executive Director, Families & Wellbeing	Councillor P Wright Health & Wellbeing & Adult Services		Officer
The definition of a Key Decision is a decision of the Executive Board or an Officer under delegated powers which is likely to: Result in Warrington Borough Council incurring expenditure, making savings or vireing £250,000 or more having regard to the Local Authority budget for the service or functions to which the decision relates; or Be significant in terms of its effects on communities living or working within Warrington Borough Council's area comprising two or more Wards.									

WARRINGTON BOROUGH COUNCIL

EXECUTIVE BOARD – 10 March 2014

Report of Executive Board Member:	Councillor Hitesh Patel, Executive Board Member, Personnel and Communications	
Chief Executive:	Professor Steven Broomhead, Chief Executive	
Executive Director:	Katherine Fairclough, Deputy Chief Executive	
Senior Responsible Officer:	Jan Boon, Performance, Policy and Partnerships Manager	
Contact Details:	Email Address:	Telephone:
	jboon@warrington.gov.uk	01925 443866
Key Decision No.	N/A	
Ward Members:	All	

TITLE OF REPORT: PERFORMANCE REPORT - QUARTER 3 2013-14

1. PURPOSE

- 1.1 The purpose of this report is to provide an overview of the Council's performance for quarter 3 of 2013-14 (October to December 2013). It updates members of the Executive Board on progress made towards the pledges contained in the Corporate Strategy 2012-2015. It also provides an overview of progress against the corporate health measures relating to finance, staff and customer service.

2. BACKGROUND

- 2.1 The Council Strategy 2012-2015, approved on 5 March 2012, made three pledges to Warrington's residents:

- To protect the most vulnerable
- To support the local economy
- To help build strong and active communities for all

- 2.2 The strategy is supplemented by the Corporate Plan that sets out how the pledges will be delivered in 2013-14.

3. PERFORMANCE IN DELIVERING AGAINST THE COUNCIL'S PLEDGES

- 3.1 There is evidence of progress in many areas. For **protecting the most vulnerable**, an Ofsted inspection of Warrington's Fostering Service found it to be 'good' with 'outcomes for children and young people' being rated at the highest possible level of 'outstanding'.

- 3.2 The Council also continues to **support the local economy** with environmental improvement work in the Bridge Street area of Warrington Town Centre now completed and proposals for a £52 million plan to revitalise the area now in place.
- 3.3 The Council has worked with its partners to secure affordable housing for residents and a total of 133 affordable properties have been provided at Quarter 3 (against a target of 129.). It was also recently announced that Warrington has attracted a £4 million new homes bonus for 2014-15. The New Homes Bonus is a grant paid by central government to local councils for increasing the number of homes and their use.
- 3.4 The Centre for Cities Annual Health Check report which was published at the end of January indicates that Warrington is one of only a few 'cities' that has shown continual growth. This evidences the progress that is being made by the council and its partners in strengthening the local economy. When looking at overall economic performance across 64 cities and large towns Warrington has moved from 54th place in 2008-9 to 10th place in 2013. This is based on how Warrington is performing in employment, wage levels, the number and size of local businesses and house values. The report also highlighted that Warrington has the fourth highest jobs growth rate in the country and the third highest employment rates. It was also in the top ten for new business start-ups.
- 3.5 There has also been progress in **building strong and active communities**. Warrington's Residents survey has provided evidence that a majority of people from different backgrounds feel that they get on well in the local area and are satisfied with the local area they live in.
- 3.6 Figures released in November show that the number of 16-18 year olds not in education, employment or training (NEET) has reduced from 6.1% in March 2012 to 3.9% in October 2013.
- 3.7 Full details of how the Council is performing in relation to its pledges are outlined in the attached magazine which will be published on the Council website.

4. CORPORATE HEALTH

- 4.1 The 2013/14 financial forecast to the end of the year as at Quarter 3 is an underspend of £0.421m. The main areas for the underspend and variance from Quarter 2 are additional savings from vacancy management, increased use of grant funding and use of reserves where appropriate. The Quarter 3 forecast is a much improved position from Quarter 2. Directors have been working to identify mitigating savings and cost reduction initiatives to offset the overspend. Actions taken in the last quarter to reduce the forecast overspend include:
- Future years savings proposals brought forward for earlier implementation in 2013/14 where possible
 - Continuing service redesign and vacancy management to reduce in-year expenditure
 - Ceasing or delaying non-priority expenditure items in year
 - Generating additional and new income is being considered where possible (however, the economic climate prohibits this in some areas)

- 4.2 The Department for Communities and Local Government (DCLG) approved the bid for a capitalisation directive in January 2014 of £2.5m and as a result this has increased the Medium Term Financial Plan (MTFP) reserve to £9.975m. This will provide a valuable relatively stable position for future years when the Council's financial position is anticipated to significantly worsen.
- 4.3 Sickness absence is currently 13.38 days per employee against a target of 12.8 days. This shows a downward trend for the second quarter running, reducing from a high of 14.06 days which reflects the work that has been done by Human Resources to ensure that the sickness absence policy is being followed by managers with a particular focus on long term sickness absences. This is a significant improvement and continued focus on this area is expected to lead to a further reduction in sickness absence.
- 4.4 For our customer measures 88.6% of complaints were responded to within the timescales laid down in our Customer Feedback Policy. This falls short of our target of 92%. Work is underway with directorates to review complaints data and information and look at how complaints are recorded and processed. The refresh of the Council's Customer Service Strategy and standards will see improvements to the customer complaints and feedback processes and will result in a refresh of a new set of measures.
- 4.5 657 Freedom of Information (FOI) requests have been received since the start of the year. This represents a 14% increase rather than the targeted 15% reduction by quarter 3. The Council continues to see an increase in the numbers of FOIs received. Work is underway to standardise the reporting of FOIs and to encourage services to identify data that can be provided via the publication scheme and can be published on the website. The Resources and Strategic Commissioning directorate has achieved 20% reductions in FOIs being processed through publishing more data and information on the Council's website. 92.3% of FOIs were dealt with within the statutory timescale, which falls slightly short of the 95% target.

5. FINANCIAL CONSIDERATIONS

- 5.1 This report provides information about the current forecast financial outturn in section 4.1.

6. RISK ASSESSMENT

- 6.1 The Council's risk management arrangements are embedded and working well within the Directorates.

7. EQUALITY AND DIVERSITY / EQUALITY IMPACT ASSESSMENT

- 7.1 Equality and diversity implications are assessed in relation to each aspect of performance and its delivery within directorates. As this is a composite report, a further impact assessment is not necessary.

8. CONSULTATION

- 8.1 Consultation with key stakeholders has been undertaken in relation to the Council's strategy and plans.

9. REASONS FOR RECOMMENDATIONS

9.1 To ensure that Executive Board Members are aware of the Council’s Quarter 3 2013-14 performance position in relation to both the Corporate Plan and corporate health and can see the areas of good performance and those where improvements are required.

10. RECOMMENDATION

10.1 The Executive Board is recommended to:

- (i) Note the quarter 3 2013-14 performance position up to the end of December 2013.
- (ii) Note that the relevant Executive Board Members will liaise with their Executive Directors to discuss specific performance issues.

11. BACKGROUND PAPERS

Council Strategy 2012-2015
Corporate Plan 2013-2014

Contact for background papers:

Name	E-mail	Telephone
Jan Boon	jboon@warrington.gov.uk	01925 443866



Corporate Plan Performance Report Quarter 3 2013-14

October – December 2013

Document key

Green	On target
Amber	Outside of target but within agreed tolerances
Red	Target not being achieved

Protect the Most Vulnerable

Key Performance Messages

Protect the Most Vulnerable

- An Ofsted inspection of Warrington's Fostering Service found it to be 'good' with 'outcomes for children and young people' being rated at the highest possible level of 'outstanding'. (See case study below for further details).
- The length of court care proceedings has reduced to an average of 23 weeks; this exceeds the national target of 26 weeks and means that children's permanence plans are achieved in a timely manner.
- An action plan was put in place to reduce the level of absence from school of children in care. This has resulted in an improvement from 11% absence in September 2013 to 5% in December 2013.
- A celebration event was held in December 2013 to recognise the achievements of children in care and care leavers.
- Service user feedback forms show that at Quarter 3 87% of respondents who have been through our safeguarding process feel safer. 93 % of service users also said that they were supported and listened to.
- The Community Connector team have moved to New Town House to raise their profile within social care and increase referrals. The Community Connectors have also been trained to provide a fund finding service for charitable grants where individuals are not eligible for statutory support. They have also been improving the 'My Care and Support Directory' on Warrington Council's website which provides information about social care and support services, clubs, groups, community and voluntary organisations for people in the Warrington area.
- Following a successful planning event, led by Dr Sam Bennett, Programme Director at Think Local Act Personal, a project is underway in Learning Disability services in partnership with Warrington Community Living and service providers to pilot individual service funds. Community Connectors are being trained to act as support brokers, to ensure that people who have a direct payment can access help with planning and arranging their support.

Protect the Most Vulnerable

Measures	Rating
Proportion of people using social care who receive self directed support	Amber
<i>2098 service users have had a self directed support package during the year from 1 April 2013, this makes up the numerator for this measure. This is a proxy figure as the denominator is based on the number of service users and carers receiving a community based service in the annual RAP return, completed in 2013 (3749 service users and carers). The outturn for quarter 3 is 56.4%, which is lower than the target of 62.0%.</i>	
% of older people who are independent 91 days after they receive re-ablement support	Green
Carers receiving needs assessment or a review, service, information or advice	Amber
<i>A GP Pilot scheme will start in Quarter 4 which should increase the numbers of carers receiving a service. Work also continues to update Carers Emergency plans which will contribute to the numbers of carers receiving a review. We are also working in partnership with Wired Adult and Young Carers Service who are beginning a pilot project with Sainsbury's in February to identify hidden carers. The check-out staff will be handing out carers' information packs which include a self-assessment which can lead to accessing lower level carers services such as the Carers Newsletter, Carers Emergency Card or Carers Leisure Card.</i>	
Children who had 3 or more placements in 1 year	Green
Children who lived in the same placement for 2 years	Red
<i>54 out of 84 children who have been in care for 2.5 years or more have lived in the same placement for over 2 years. Performance is now closer to the England average. There continues to be emphasis on this area and it is important to note that a planned move can be positive, if it meets the needs of the child or young person. The Placement Support Service continues to assist through the support that can be offered to prevent disruption.</i>	
% of child protection plans lasting two years or more	Green
Timeliness of placements of Children in Care for adoption following an agency decision that the child should be placed for adoption	Red
<i>During 2013/14, 16 children have been adopted (agency only). Of these, 9 children were placed with their adopters within 12 months of the decision. The number of children adopted so far this year already exceeds the total number adopted during the full year for each of the previous four years. Whilst performance is improving, this indicator is directly impacted by delays in court care proceedings.</i>	

Facts and Figures

- 244 families of disabled children and young people have received short break services and support which provides respite for their parents and carers.
- Wired continues to provide a dedicated service to 150 Young Carers in Warrington who have registered with them.

Protect the Most Vulnerable



An Ofsted inspection of Warrington's Fostering Service found it to be 'good'. Ofsted rated five service areas: overall effectiveness, quality of care, safeguarding children and young people, leadership and management, which were all given a "good" rating, and lastly outcomes for children and young people, which was rated "outstanding".

The Inspectors commented that the service is well-run by managers who have a clear understanding of priorities and who put the children and young people at the centre of their work. The children, says the report, "make outstanding progress in achieving their potential in their educational aspirations" and "their life chances in future employment are significantly improved".

The report praised Warrington's foster carers, who the inspectors said are positively motivated and provide high standards of care, whilst the stability of foster homes clearly benefits children.

The report also highlighted that children and young people have a strong voice in the organisation and they influence service delivery, and that the service is good at keeping children and young people safe and feeling safe. The report added that there is a positive culture permeating the organisation which places a positive emphasis on all children and young people receiving the best care. It is a significant feature that staff refer to "our children" in all discussions, said the inspectors. Warrington Borough Council's executive board member for children and young people, Councillor Colin Froggatt, said: "Warrington Fostering Service continues to achieve success and has made significant improvements in the last few years. This is testament to the professionalism of our team and foster carers who continually work hard and show enormous dedication so we can help more children in need of loving families".

Finding permanent, loving homes for our children in care remains a top priority for Warrington Borough Council. Fostering is a hugely rewarding role and we work hard to ensure that the right children are matched with the right parents, and that they are all supported before, throughout and after the placement has been made".

Support the Local Economy

Key Performance Messages

- It was recently announced that Warrington has attracted a £4 million new homes bonus for 2014-15. (See case study below for further details)
- In October the council announced proposals for a £52 million plan to revitalise the Bridge Street area of Warrington Town Centre. This is part of a portfolio of regeneration plans that will strengthen and grow Warrington's economy. The scheme will create family-friendly shopping, restaurant and leisure facilities and provide a new market hall. A phased programme of site development over the next five years will create up to 400 construction jobs and result in 400 new permanent jobs in the leisure, retail and restaurant sectors.
- A £400,000 programme of improvements to Lower Bridge Street is now completed. These include new footways and kerbs, enhanced street lighting and landscaping.
- Warrington & Co have worked closely with an international headquartered company who planned to leave Centre Park for a location in South Manchester. This has resulted in this company moving to new premises in Birchwood Park securing jobs in the local economy.
- The Connecting Cheshire Partnership Project that is overseeing the installation of Superfast Broadband fibre networks across Cheshire and Warrington continues to make good progress and is ahead of schedule. It is expected that the majority of Warrington will have access to fibre-based broadband by the end of 2014.
- The council works with six registered providers through the Affordable Housing Partnership to deliver affordable homes through the building and acquisition of property. Affordable homes are also constructed by other developers which may be for sale or rent. Loans have been provided to three partner registered providers to fund housing development and bring sites forward. A total of 133 affordable properties have been provided at Quarter 3 (against a target of 129). The council is currently completing the acquisition of a further 4 two bed properties.

Support the Local Economy

Measures	Rating
Additional homes provided	Green
Affordable homes provided	Green
More of our procurement spend with local suppliers	Green
Council's Apprenticeship Programme	Green
Town Centre footfall	Amber
<i>Figures represent a decrease of 2.6% on the same period last year. November was the busiest month and footfall was only marginally lower (-0.4%) than in November 2012. The national footfall index over this period was down 4.2% on 2012.</i>	
16 to 18 year olds who are not in education, employment or training (NEET)	Green

Facts and Figures

- The Omega developments have moved from employing approximately 5% of local firms to almost 33% and the target is to achieve more than 50%.

Support the Local Economy

It was recently announced that Warrington has attracted a £4 million new homes bonus for 2014-15. The New Homes Bonus is a grant paid by central government to local councils for increasing the number of homes and their use.



The council's executive board member for environment, Councillor David Keane, said "Warrington has earned this grant through the excellent work done by the council's housing team in collaboration with our partner organisations. Over the past year the number of long long-term empty homes has been reduced by 300 and 227 affordable homes have been provided. This performance means our New Homes Bonus for 2014-15 is almost £1.3 million more than last year's allocation. The provisional allocation of just under £3.9 million is the fifth highest allocation in the North West. Only Manchester, Salford, Liverpool and Cheshire East are higher, and most of them have much larger populations than Warrington, so it's clear we are punching above our weight."

Help to build strong and active communities for all

Key Performance Messages

- Around 70 people have expressed an interest in volunteering to become Digital Champions and training has commenced to equip them to support residents in accessing the internet. This initiative is led by Warrington Partnership's Digital Inclusion Group, which includes the Council, Golden Gates Housing Trust, Livewire and Warrington Voluntary Action.
- Figures released in November show that the number of 16-18 year olds not in education, employment or training (NEET) has reduced from 6.1% in March 2012 to 3.9% in October 2013. Warrington's Careers for Young People Service has been a major contributor to this success, working with local employers to provide careers advice and events. They have also worked with the National Apprenticeship Service to host events aimed specifically at employers for them to get information and support about taking on an apprentice. The council itself took on a further 19 new apprentices in November.
- A pilot has been established to develop a targeted approach to support young people from Bewsey and Whitecross who are not in education, employment or training. We have also introduced a pilot peer mentoring programme in the school with the highest NEET in Bewsey and Whitecross.
- Music projects which are funded through the Hub involving NEET have engaged 40 young people in weekly lessons since September 2013. DJ Skills and Band Development projects have proved successful, culminating in a performance at the Parr Hall in December 2013.
- National HIV Week in November culminated in a town centre awareness day on raising awareness of the benefits of HIV testing and encouraging the people who are at risk to get tested.
- Falls Prevention training was delivered to ten high priority care homes with the aim of educating staff on how to prevent falls and repeat falls.
- The Winter Warm Pilot Project went to Bewsey and Dallam Luncheon Club where vulnerable older people were given a winter warm 'pack' of winter resources plus top tips, advice and signposting information, Golden Gates Housing Trust have subsequently rolled out this pack to their tenants.
- Risley Prison Health Improvement activities have been highlighted as good practice by a recent inspection and the health check programme for prisoners in both Risley and Thorncross has been launched.

Help to build strong and active communities for all

Measures	Rating
Number of attendances at Youth Provision	Red
<i>Throughout the year as partner information and local community intelligence data collation about young people needs has improved, the youth service has responded by refocusing provision to target the most vulnerable young people in Warrington. This has resulted in smaller group and one to one work with young people rather than large open access sessions. The annual target set at the beginning of the year is therefore now unrealistic based on current delivery plans.</i>	
Number of participants in youth provision	Red
<i>A refocusing of youth service delivery to target the most vulnerable young people in Warrington has been undertaken throughout the year. The current youth service delivery focuses on ensuring these vulnerable young people are having sustained contact with youth workers in order to build relationships and gain from the support offered. The current number of participants shows that sustained engagement is below the locally set target, but it is reflective of those in most need.</i>	
Participation in Culture Warrington	Green
Participation in Livewire	Red
<i>Quarter 3 historically has been a quiet quarter for participation figures. When the indicator is calculated cumulatively we are on target to achieve the full year target.</i>	
Smoking Cessation Rates (4 week quitters)	Red
<i>The data presented for Quarter 3 is the actual figure for quarter 2 (data is reported a quarter behind due to the time lag in the release of data). The number of 4 week quitters up to Q2 2013/14 was 442 (which is the latest full quarter information available). This failed to meet the contractual target of 552. This is in line with the national trend which has seen a reduction in overall referrals and subsequent successful quits by Stop Smoking Services. However, locally we are continuing to address the shortfall in the number of successful quitters in Quarter 3 and deliver the end of year target. The service provider Livewire has previously proved successful in meeting targets for 2012-13 and is increasing outreach engagement to raise awareness of the programme and improve overall referrals and quit rates.</i>	
Rate of hospital admissions for alcohol related harm	Green
Achievement gap between pupils eligible for free school meals and their peers at Key Stage 2 (2013 results).	Green
Achievement gap between pupils eligible for free school meals and their peers at Key Stage 4 (2013 results).	Green

Facts and Figures

- 97% of parents surveyed find their children centre services to be good or excellent.
- During the quarter the neighbourhood team have worked with 233 volunteers from 58 new groups this year in delivering community activity local events and promotions.

Help to build strong and active communities for all

Warrington Borough Council has published the results of its 2012-2013 residents' survey.

Council leaders say the survey shows significant improvement over previous years, but that there is no room for complacency.



Headline results of the survey included:

Residents are generally positive about Warrington Borough Council, with two-thirds being satisfied with the way the council runs things. This has shown a steady and significant improvement compared with the 2008 and 2010 surveys.

86% of residents said they were satisfied with their local area as a place to live. This is a marginal improvement over 2008 and 2010.

A majority of residents (51%) feel that the council keeps them well informed, compared with 36% in 2008.

Of those expressing an opinion 89% felt that people from different backgrounds get on well in the local area – an improvement over the 2008 figure of 81%.

Some 43% of respondents felt that the council provides value for money, a significant increase from 32% in 2008.

The council's executive board member for communications, Councillor Hitesh Patel, commented "The survey shows significant improvements in residents' satisfaction with Warrington Borough Council. But there's no room for complacency, we must keep improving and we aim to provide the best possible service and value for money to Warrington residents. The results of this survey will help shape our services in the future. The council would like to thank everyone who participated in the survey."

For further information please contact the Performance, Policy and Partnerships Team by e-mailing corpperfpol@warrington.gov.uk or telephoning 01925 443866.

WARRINGTON BOROUGH COUNCIL

Executive Board – 10 March 2014

Report of Executive Board Member:	Councillor Russ Bowden, Executive Board Member, Corporate Resources and Assignments	
Chief Executive:	Professor Steven Broomhead, Chief Executive	
Executive Director:	Katherine Fairclough, Deputy Chief Executive	
Senior Responsible Officer:	Lynton Green, Director of Finance and Information Services	
Contact Details:	Email Address: lgreen@warrington.gov.uk	Telephone: 01925 443935
Key Decision No.	N/A	
Ward Members:	All	

TITLE OF REPORT: BUDGET MONITORING 2013/14 – QUARTER 3 UPDATE

1. PURPOSE OF THE REPORT

- 1.1 To inform Members of the forecast financial position for 2013/14 as at the end of December 2013. The report highlights the progress on delivering the 2013/14 Medium Term Financial Plan (MTFP) savings targets.

2. CONFIDENTIAL OR EXEMPT

- 2.1 The report is not confidential or exempt.

3. BACKGROUND INFORMATION

2013/14 Budget

- 3.1 During the past few years the Council has been faced with extremely challenging budget settlements and the need for significant transformation in order to balance the budget. This has led to a continuous wide ranging programme of organisational redesign to sustain and direct services to those areas that residents tell us are important to them.
- 3.2 Over the past three years the Council had achieved around £50m of savings which has resulted in reshaping and streamlining services to target areas of high priority and need. This has included looking for alternative ways of providing services and in some case ceasing to provide a service, which has had a direct impact on the citizens of the Borough.

- 3.3 In March 2013 the Council approved a budget for 2013/14 of £152.993m which included a further £14m of planned savings. The budget included £10.052m Public Health funding to enable the Council to continue to provide Public Health services that transferred to the Council's responsibility on 1 April 2013.
- 3.4 Since the budget was approved some movements have been made to the allocation to budgets across Directorates and notification has been received of changes to grant funding. A breakdown of budgets across Directorates is highlighted in paragraph 4.1.
- 3.5 The Council has well embedded, robust budget monitoring arrangements in place and has adopted a thorough process to mitigate risks of overspending. However, due to the current economic climate, situations can arise outside of the Council's immediate control and whilst good systems are in place to identify budget variances at the earliest opportunity even the most rigorous of arrangements cannot prevent budget pressures occurring. The Council's financial management arrangements act as an early warning and allow a considered approach/response to address any anticipated pressures and underachievement of savings, albeit this is becoming more difficult as funding continues to be cut.

2013/14 MTFP Savings

- 3.6 The 2013/14 MTFP savings target is £13.892m. This target arises from the Government's four year comprehensive spending review (CSR) in 2010. So far the Council has achieved £36.896m savings over the past two years.
- 3.7 Some high value savings in the MTFP relate to a more effective alternative delivery of service provision. In these areas a long lead in time is often required for public consultation and Member approval. Savings have been discounted to reflect a part year effect where this is the case however further delays can result in the full impact of the savings not being realised until the next financial year.
- 3.8 Where full savings will not be realised in year, in some cases alternative savings have been found to mitigate the shortfall. This is not without risk however as this can result in use of one off reserves which reduces the Council's ability to sustain a robust financial position on future years; or can have a detrimental impact on the level of services provided.
- 3.9 At Quarter 3, the forecast of MTFP savings to be achieved at the end of the year is £12.300m (89%). The summary of savings by Directorate is included at Appendix A.

2013/14 Pressures

- 3.10 Included within the approved budget for 2013/14 was £5.119m investment to mitigate the impact of statutory and regulatory pressures faced by services across the Council and to provide an element of growth. This included

additional funding to strengthen the MTFP Reserve and mitigate against future funding cuts.

- 3.11 Alongside statutory and regulatory pressures, Directorates are also absorbing other pressures relating to increasing demand, reduced income as a result of the economic climate and changes to legislation. This has an impact on the level of services provided as funding is re-directed to be targeted to the highest area of need.

4. 2013/14 FINANCIAL FORECAST

- 4.1 The 2013/14 financial forecast to the end of the year as at Quarter 3 is an underspend of £0.421m. The individual Directorate forecast outturn is highlighted in the table over the page. The main areas for the underspend and variance from Quarter 2 are additional savings from vacancy management, increased use of grant funding and use of reserves where appropriate.

Directorate <i>Overspends are shown as minuses (-)</i>	2013/14 Budget £m	2012/13 Outturn £m	Quarter 2 Forecast £m	Quarter 3 Forecast £m	%
Resources & Strategic Commissioning	14.194	-0.146	0.404	0.306	2.2
Families & Wellbeing Children	41.860	0.158	-1.182	-0.232	-2.8
Families and Wellbeing Adults	57.075	0.012	-0.503	-0.057	-0.1
Economic Regeneration, Growth and Environment	24.745	0.008	-0.504	-0.096	-0.4
Corporate & Cross Cutting	15.819	-0.021	0.350	0.500	3.2
Total	153.694	0.011	-1.435	0.421	0.3

- 4.2 The Quarter 3 forecast is a much improved position from Quarter 2. Directors have been working to identify mitigating savings and cost reduction initiatives to offset the overspend and actions taken in the last quarter to reduce the forecast overspend include:

- Future years savings proposals brought forward for earlier implementation in 2013/14 where possible
- Holding posts vacant throughout the year for services that are affected by savings in 2014/15
- Continuing service redesign and vacancy management to reduce in year expenditure
- Ceasing or delaying non priority expenditure items in year
- Generating additional and new income is being considered where possible (however the economic climate prohibits this in some areas)

- 4.3 During the year the Council submitted a bid for a capitalisation directive to DCLG to allow capitalisation of the mandatory element of termination costs incurred within 2013/14. Throughout the year no forecast has been made for the inclusion of this allowance as it was not approved in 2012/13 and a

prudent approach was taken. If approved it was planned to increase the value of the MTFP reserve with the allowance as this would replace the reserve with £2.1m drawdown agreed in Quarter 1.

- 4.4 The DCLG approved the bid for a capitalisation directive in January 2014 of £2.5m and as a result this has increased the MTFP reserve to £9.975m. This will provide a valuable relatively stable position for future years when the Council's financial position is anticipated to significantly worsen.

5. DIRECTORATE FORECASTS

5.1 Resources and Strategic Commissioning

The Directorate is forecasting an underspend of £0.306m. This is an improved position from the last quarter as a result of the delay of discretionary projects and vacancy management savings in advance of 2014/15 savings targets.

With the addition of Strategic Commissioning in the Directorate following the corporate restructure, the Directorate MTFP savings target has increased to £2.547m. This target is forecast to be achieved within the year, although not all by the original means.

5.2 Families and Wellbeing - Children

The Directorate is forecasting an overspend of £0.232m which is a much improved position from Quarter 2. The forecast is a net position and includes an overspend of £2.582m for the continuing number and value of external children's agency placements being significantly above budget levels for a number of years. The legacy from placement decisions in previous years and the demand for new placements results in a very volatile budget to manage and as a result this is being funded as a permanent pressure in the 2014/15 MTFP. The overspend is being partially offset by underspends from staff savings within the Directorate, transport savings and savings relating to proposals included in the 2014/15 MTFP that are being planned in advance.

The dedicated schools grant is forecasting an underspend of £1.263m however as this is a ring-fenced grant any underspend will be carried forward to 2014/15.

The Directorate is anticipating achieving 95% of its MTFP savings with a shortfall of £0.166m forecast in Targeted Services. The Directorate are assessing the options for remedial action to ensure alternative savings can be found to meet the deficit.

5.3 Families and Wellbeing - Adults

The Directorate is forecasting an overspend of £0.057m. This is an improved position from Quarter 2. The forecast is a net position and includes overspends of £1.5m as a result of the high cost of transitional care

as a result of children moving into adults and MTFP savings targets yet to be achieved. Within the Directorate extensive periods of consultation and lead-in time to achieve savings have been undertaken to ensure the proposals are legally and financially robust and this has resulted in delays in achieving full year targets. The value of savings yet to be achieved is £0.736m and the full value of this quarter's overspend is being partially offset with one off funding for 2013/14.

The Directorate is forecasting to achieve 73% of its MTFP savings target for the year as highlighted above. The main proposal yet to be achieved relates to alternative service delivery.

5.4 Economic Regeneration, Growth and Environment

The Directorate is forecasting an overspend of £0.096m. This is an improved position from Quarter 2 and includes the use of £0.432m earmarked reserves to offset expenditure. Within the Directorate significant overspends are continuing to be forecast on income targets as a result of the current economic climate, with a shortfall of £1.125m anticipated to the end of the year. This is currently being managed by underspends in other areas of the Directorate mainly on reduced employee costs, additional income and a reduction in contact payments.

The Directorate is forecasting an 85% achievement on its MTFP savings. The main areas for savings not yet achieved relate to waste and recycling and this is being partially offset by savings in other areas of the Directorate.

5.5 Corporate and Cross Cutting

The service is forecasting an underspend of £0.500m and anticipating to achieve 90% of its MTFP savings target.

6. FINANCIAL CONSIDERATIONS

- 6.1 This is a financial report that updates members on the current year budget position as at Quarter 3 2013/14.

7. RISK ASSESSMENT

- 7.1 A number of key factors were identified during the 2013/14 budget setting process and a detailed risk register was prepared. These risks have been closely monitored during the year, as well as detailed project monitoring around the delivery risks of all service challenge savings.
- 7.2 The Council does hold reserves to help mitigate the risk, however using reserves to balance the Council's budget should only be considered as a short-term solution, and therefore is avoided wherever possible.

8. EQUALITY AND DIVERSITY / EQUALITY IMPACT ASSESSMENT

- 8.1 All Service Challenge proposals were subject to an Equality Impact Assessment, and this is also reviewed as part of any formal consultation and

implementation process for any savings projects. Any changes that emerge from the original proposals contained in the MTFP are also subject to Equality Impact Assessments.

9. CONSULTATION

9.1 Not applicable.

10. REASONS FOR RECOMMENDATION

10.1 The Council is currently forecasting an underspend of £0.421m for 2013/14. This is a much improved position from the previous quarter as Directors have continued to review forecasts in anticipation of mitigating a forecast overspend at the end of the year.

10.2 It is important that Members have a full understanding of the Council's current budget position and pressures to enable Members to play a full part in the decision making process to ensure that the Council continues to deliver a balanced budget.

11. RECOMMENDATION

11.1 The Executive Board is recommended to:

- (i) Note the forecast outturn as at Quarter 3.
- (ii) Note the progress on delivery of MTFP savings targets as at Quarter 3.
- (iii) Note the continued commitment by Directors to ensure the delivery of a balanced or favourable budget at the end of the year.

12. BACKGROUND PAPERS

12.1 2013/14 Budget approved at Council on 4 March 2013

APPENDIX A

Executive Summary				
Savings Initiatives 2013/14				

Council Total Savings				
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	Total Savings '£000	Estimated in Year Savings '£000	Actual Savings to Date '£000	Achieved via Other Means
	13,892	12,300	7,436	1,571

Resources & Strategic Commissioning				
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Total Savings				
	Total Savings '£000	Estimated in Year Savings '£000	Actual Savings to Date '£000	Achieved via Other Means
Solicitor to the Council	398	398	129	290
Finance and Information Services	922	922	814	178
Partnerships & Performance	239	239	191	25
HR Advisory & Transactional Services	478	478	361	20
Strategic Commissioning	410	410	60	100
Deputy Chief Executive	100	100	100	-
	2,547	2,547	1,655	613

Families and Wellbeing - Adults				
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Total Savings				
	Total Savings '£000	Estimated in Year Savings '£000	Actual Savings to Date '£000	Achieved via Other Means
Adult Social Care	2,730	1,994	1,011	731
	2,730	1,994	1,011	731

Families and Wellbeing - Children				
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Total Savings				
	Total Savings '£000	Estimated in Year Savings '£000	Actual Savings to Date '£000	Achieved via Other Means
Partnerships & Resources	1,169	1,169	1,169	-
Universal Services	452	452	452	-
Targeted Services	1,027	861	861	-
Directorate	541	541	541	-
	3,189	3,023	3,023	-

Economic Regeneration, Growth and Environment				
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Total Savings				
	Total Savings '£000	Estimated in Year Savings '£000	Actual Savings to Date '£000	Achieved via Other Means
Transportation, Engineering & Operation	1,982	1,646	1,217	77
Development & Public Protection	240	201	195	-
Environment & Regeneration	455	440	335	150
	2,677	2,287	1,747	227

Corporate and Cross Cutting				
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Total Savings				
	Total Savings '£000	Estimated in Year Savings '£000	Actual Savings to Date '£000	Achieved via Other Means
Corporate and cross cutting	2,749	2,449	-	-
	2,749	2,449	-	-

WARRINGTON BOROUGH COUNCIL

Executive Board – 10 March 2014

Report of Executive Board Members:	Councillor Russ Bowden, Executive Board Member, Corporate Resources and Assignments	
Chief Executive:	Professor Steven Broomhead, Interim Chief Executive	
Executive Director:	Katherine Fairclough, Deputy Chief Executive	
Senior Responsible Officer:	Lynton Green, Director of Finance and Information Services	
Contact Details:	Email Address:	Telephone:
	lgreen@warrington.gov.uk	01925 44 3935
Key Decision No.	043/13	
Ward Members:	All	

TITLE OF REPORT: CAPITAL PROGRAMME MONITORING 2013/14 – QUARTER 3 (SEPTEMBER - DECEMBER)

1. PURPOSE OF THE REPORT

- 1.1 This report provides the current position and progress of the 2013/14 Capital Investment Programme and its financing as at 31 December 2013. It takes into account both financial and scheme progress monitoring undertaken with service area project officers.

2. CONFIDENTIAL OR EXEMPT

- 2.1 The report is not confidential or exempt.

3. BACKGROUND INFORMATION

- 3.1 A summary of the quarter 3 capital monitoring position is given in the table below. **Appendix 1** provides a full breakdown of the programme on a directorate and scheme by scheme basis.

2013/14 Capital Programme	2013/14 Approved (MTFP) £m	2013/14 Quarter 1 £m	2013/14 Quarter 2 £m	2013/14 Quarter 3 £m	2013/14 Spend at Quarter 3 £m	2013/14 Commitment £m	2013/14 % Spend
Families & Wellbeing	16.016	21.322	22.276	16.887	11.486	1.713	78%
Resources & Strategic Commissioning	7.409	8.581	9.637	6.045	1.498	0.616	35%
Economic Regeneration, Growth & Environment	23.835	28.120	31.625	20.629	7.429	3.335	52%
2013/14 Capital Programme (excluding Invest to Save)	47.260	58.023	63.538	43.561	20.413	5.664	60%
Invest to Save Programme	467.200	495.837	350.687	150.808	38.611	0.122	26%
2013/14 Invest to Save Programme	467.200	495.837	350.687	150.808	38.611	0.122	26%
Total 2013/14 Capital Programme	514.460	553.860	414.225	194.369	59.024	5.786	33%

- 3.2 In setting the Council's 2013/14 – 2015/16 Capital Programme, the Council incorporated an ambitious programme which includes £467.200m of Invest to Save schemes. Due to the size of the Invest to Save programme, if any slippage takes place in year, this distorts the percentage spend and shows low level spend against budget. The above table differentiates the programme between the capital programme excluding invest to save and including invest to save which should give greater accountability.
- 3.3 The table shows the programme excluding invest to save as 60% spent against the Q3 budget. Including invest to save, the table shows 33% spent against Q3 budget. This is a good level of spend to budget at this time of the year with the profile of greatest spend forecast to take place in the last quarter of the year. This is reflective of the robust challenge the capital programme is subject to at monthly Capital Investment Planning Group (CIPG) meetings.
- 3.4 Variances from budgets to actual expenditure at Directorate levels are:
- Families and Wellbeing – currently showing 22% underspend against budget. Schemes are progressing well with more than three quarters of the total budget spent at Q3.
 - Resources and Strategic Commissioning – currently showing 65% underspend against budget; this is mainly due to the Capitalisation of Redundancy/Pension costs which will only be processed at year end (capitalisation direction application approved). Also, the Museum Redevelopment Scheme has incurred all of the associated costs via a revenue code; therefore £495k of expenditure needs to be recoded to the capital project.
 - Economic Regeneration, Growth and Environment – currently showing 48% underspend against budget. This is due to low level of spend and commitments incurred in Q3. Several new projects have been added to the programme in year that are currently being designed and developed; therefore these schemes have incurred no costs to date, however current forecasts is that costs will be incurred in Q4.
 - Invest to Save Programme – currently showing 74% underspend against Q3 budget. Considerable progress has been made on the Invest to Save schemes in the period. The Executive Board at its January meeting agreed a £90m loan to Helena Housing and a further loan to a Registered Provider is to be considered at the 10 March meeting. Two further loans are currently in the early stages of negotiation. Regular updates on the progress of loans to RSLs are given to the Audit and Corporate Governance Committee and the Treasury Management Board.

In the period Lloyds re-opened their Local Authority Mortgage scheme and stated its commitment to the future of the scheme. The Warrington scheme continues to go from strength to strength.

The refinancing of the Council's Pension Fund scheme (£140m) and the repayment of the Warrington Borough Transport Pension Fund Deficit (£3m) were removed from the programme in the period. These schemes were removed following a review with the Cheshire Pension Fund of the actuarial risks involved. The Omega Infrastructure loan of £3m was repaid in the period. This loan greatly facilitated the economic regeneration of the Omega site.

The Street Lighting scheme gathered momentum in the period. Warrington and Blackburn plan to produce luminaries together. The project plan forecasts an on-site start date of spring 2014.

- 3.5 The Medium Term Financial Plan (MTFP) 2013/14 Capital Budget agreed by the Executive Board in March 2013 was £514.460m and the Q2 budget agreed in December 2013 was £414.225m. Capital expenditure in 2013/14 is currently estimated at £194.369m, which is £219.856m lower than the Q2 agreed budget. The changes to the budget are detailed in **Appendix 2** and are summarised as follows:-

Changes to Capital Programme	£m
2013/14 MTFP Approved Budget	514.460
2013/14 Approved Quarter 1 Budget	553.860
2013/14 Approved Quarter 2 Budget	414.225
Budget changes:	
Re-profiling budgets/adjustments	1.078
New Projects (fully funded by grant/contributions)	-
New Projects (unsupported borrowing)	1.385
Rephasing to latter years	- 215.077
Removal of Project/Budget (saving)	- 7.242
Current Projected Expenditure (Quarter 3)	194.369

4. STATUS OF 2013/14 PROJECTS

- 4.1 There are currently 177 schemes in the Capital Programme. All schemes have been reviewed into the following categories to identify the level of projected expenditure in 2013/14:-

- Already complete - **£6.333m**
- Schemes on site/underway - **£182.310m**
- Schemes Programmed in year - **£3.518m**
- Not yet programmed - **£2.208m**

4.2 The first three categories give a good indication of the level of confirmed expenditure that will be undertaken during the year. **Appendix 3** provides an analysis of schemes not yet programmed.

4.3 Regular monitoring is being undertaken to ensure schemes are delivered in accordance with current planned timescales.

5. CHANGES TO CURRENT CAPITAL INVESTMENT PROGRAMME

5.1 Projected expenditure for the year is £194.369m and is £219.856m lower than the Q2 budget. This is largely due to the removal of the repayment of the Pension Fund Deficit project from the programme. The table below gives a comparison of the current programme compared to the original programme approved in March 2013, the Q2 budget approved in December 2013 and the Q3 budget.

2013/14 Capital Programme	2013/14 Approved (MTFP) £m	2013/14 Quarter 1 £m	2013/14 Quarter 2 £m	2013/14 Quarter 3 £m
Families & Wellbeing	16.016	21.322	22.276	16.887
Resources & Strategic Commissioning	7.409	8.581	9.637	6.045
Economic Regeneration, Growth & Environment	23.835	28.120	31.625	20.629
Invest to Save Programme	467.200	495.837	350.687	150.808
Total 2013/14 Capital Programme	514.460	553.860	414.225	194.369

5.2 The table shows that the budget for 2013/14 has decreased by £219.856m from that approved in the Q2 report. The reasons for this are summarised in **Appendix 2**.

6. ACTUAL EXPENDITURE – QUARTER 3 (SEPTEMBER - DECEMBER)

6.1 Actual expenditure for the third quarter of the financial year is shown below, with further details of the service areas in **Appendix 1**.

2013/14 Capital Programme	2013/14 Spend at Quarter 3 £m	2013/14 Commitment £m	2013/14 Quarter 3 £m	2013/14 Variance £m
Families & Wellbeing	11.486	1.713	16.887	- 3.688
Resources & Strategic Commissioning	1.498	0.616	6.045	- 3.931
Economic Regeneration, Growth & Environment	7.429	3.335	20.629	- 9.865
Invest to Save Programme	38.611	0.122	150.808	- 112.075
Total 2013/14 Capital Programme	59.024	5.786	194.369	- 129.559

7. VARIANCES TO THE PROGRAMME IN EXCESS OF £100K

7.1 Variances to the Capital Programme in excess of £100k are set out in **Appendix 4** for noting.

8. FINANCING OF THE CAPITAL PROGRAMME

8.1 At quarter 3 the Council has a fully funded capital programme, which is summarised in the table below:

2013/14 Capital Programme Funding	2013/14 Approved (MTFP) £m	2013/14 Quarter 1 £m	2013/14 Quarter 2 £m	2013/14 Quarter 3 £m
Council Unsupported Borrowing	491.462	524.050	382.713	167.713
Council Capital Grants and Reserves	12.890	17.375	18.588	17.644
Council Capital Receipts	9.647	9.805	9.805	6.234
Council Revenue Funding	0.211	1.600	2.089	2.448
External Funding	0.250	1.030	1.030	0.330
2013/14 Capital Programme Funding	514.460	553.860	414.225	194.369

9. CAPITAL RECEIPTS FORECAST

9.1 Capital Receipts form an important element of the financing of the capital programme. The table below summarises the capital receipts position at the end of Quarter 1.

CAPITAL RECEIPTS FORECAST (Net Receipts after deductions)	Forecast Capital Receipts			
	2013/14 £m	2014/15 £m	2015/16 £m	Future Receipts £m
Council Capital Receipts Brought Forward	-	- 1.643	- 4.210	- 4.195
Corporate Property Review Phase 1 - Chester Road		2.000		
Corporate Property Review Phase 2 & 3	0.150	0.400		
Orford Park - sale of Fordton Leisure Centre site	2.300			
Potential future Year Receipts				
School Brow disposal				2.000
Cardinal Newman - playing fields				0.250
Golden Gates Garage sites	0.500			
Future Right to Buy Sales	0.440	0.440	0.440	2.200
HRA Transfer - VAT shelter	1.166	0.995		
Golden Square MRP Adjustment	0.035	0.035	0.035	0.035
TOTAL Council Capital Receipts Available	4.591	2.227	- 3.735	0.290
Planned Use of Council Capital Receipts				
Families & Wellbeing	3.964	4.158	0.460	-
Families & Wellbeing - Housing Projects	0.436	-	-	-
Resources & Strategic Commissioning	0.089	-	-	-
Economic Regeneration, Growth & Environment	1.745	2.279	-	-
TOTAL Capital Receipts Usage	6.234	6.437	0.460	-
TOTAL Council Capital Receipts Carried Forward	- 1.643	- 4.210	- 4.195	0.290

9.2 The negative carry forward position on capital receipts is the result of using more than budgeted capital receipts to fund 2011/12 capital programme. This is part of a managed strategy to reduce the Councils future Minimum Revenue Position (MRP) liability. The Council also received less than budget capital receipts for 2012/13. This negative carry forward position can be managed through slippage and using alternative funding resources in the current programme.

10. NEW SCHEMES SINCE MTFP

10.1 Appendix 5 provides a summary of new schemes that were approved by the Executive Board on 9 December 2013 which were included in the Q2 report.

11. PROPOSED NEW BIDS 2013/14 – 2015/16

- 11.1 During the period Capital Investment Planning Group (CIPG) have received several bids for new schemes to be added to 2013/14 – 2015/16 programme. **Appendix 6** provides a summary of the £2.350m schemes recommended to the Executive Board for insertion into the 2013/14 capital programme.
- 11.2 Members need to be aware that agreeing these schemes there will be additional yearly borrowing costs to the Council of £0.188m when the schemes are completed, which will need to be built into the MTFP borrowing costs
- 11.3 The additional borrowing cost of each scheme is detailed in Appendix 6.

12. RISK ASSESSMENT

- 12.1 The major risks facing the capital programme include multiple funding streams, planning, procurement, delivery of the programme, capacity, supplier and inflation risks. Many of the new innovative schemes in the programme carry the risk of challenge but measures are put in place to minimise this risk.

13. EQUALITY AND DIVERSITY / EQUALITY IMPACT ASSESSMENT

- 13.1 These are fully integrated into the Capital Programme.

14. CONSULTATION

- 14.1 All capital programme project officers, CIPG and Senior Management Team are consulted on the monitoring of the capital programme.

15. FINANCIAL CONSIDERATIONS

- 15.1 This is dealt with in the main body of this report.

16. REASONS FOR RECOMMENDATION

- 16.1 To ensure effective corporate governance procedures are in place for the management of the capital programme.

17. RECOMMENDATION

- 17.1 The Executive Board is recommended to:
- (i) Approve amendments to the 2013/14 capital programme.
 - (ii) Note the monitoring report.
 - (iii) Approve the new schemes added to the programme (section 11) and associated borrowing costs

18. BACKGROUND PAPERS

Capital Programme Model

Contacts for Background Papers:

Name	E-mail	Telephone
Danny Mather	dzmather@warrington.gov.uk	01925 442766

Detailed Budget Monitoring by Project

Families and Wellbeing - Capital Programme			2013/14 Q2 - Q3 Budget Monitoring				Overview/Key Highlights	
Project Ref.	Project Manager	Project Description	2013/14 Revised (December) £m	2013/14 Spend at Period 9 £m	2013/14 Commit. £m	2013/14 Variance £m	Detailed Description of Project	Progress of Project
Adult Services			-			-		
1	David Osborne	Development of specialist profound & multiple learning disabilities (PMLD) unit	0.010	0.003	-	0.007	Develop space that is not currently utilised in Gorse Covert Day Centre in order to offer specialist provision for people with profound and multiple learning disabilities (PMLD). The new unit would accommodate 8 - 10 service users. Currently people with PMLD are accommodated out of borough. Developing an in house service would massively reduce costs.	We are progressing with the detailed design for the scheme and working to a revised program with a start on site date of January 2014. This has led to the need to defer the tender approval in a later executive board than September as tender figures are only valid for three months from receipt, which means that the tender period needed to be later than planned with a Tender approval at executive board in November. We are progressing with the design and working to a start on site in January and we will be submitting an approval of the tenders in the November exec board.
2	David Osborne	Development of Social Hub at Woodleigh	0.010	0.002	-	0.008	Develop current building at Woodleigh Community Support Centre into a multi-purpose social care hub.	After initial consultation with families we have submitted comments to the buildings design team to see whether further re-draft of the drawing is required.
3	David Osborne / Andrew Broadhurst	Adult Social Care - Equipment Replacement	0.140	0.082	-	0.058	To fund white goods and furniture replacement in Provider Services in Adult Social Care. Due to this being a limited budget, replacements are now only made as and when required rather than on a planned renewal basis.	Woodleigh bathroom replacement exceeded the initial estimated costs. The £70k budget is the previous years allocation - this years white goods allocation remains outstanding.
4	David Osborne / Wendy Moye	Carecall - replacement of PNC & call handling/disaster recovery	0.036	0.018	-	0.018	Replace current carecall systems due to servers coming to the end of life and system also does not meet the Council's ICT security requirements.	Project Completed.
Total Adult Services			0.196	0.105	-	0.091		
Children and Young People Services			-			-		
5	Hilary Smith	Complete Primary School Projects - Retentions Only	0.680	0.156	0.077	0.447	Various Primary School Projects - complete with retention payments due.	Achieved practical completion, retention and final fee outstanding.
6	Hilary Smith	Bewsey Lodge Primary School Remodelling	2.565	2.283	0.288	0.006	New Primary School - combination of entirely new and remodelling.	Buildings completed October 2013. External play due to complete January/February.
7	Hilary Smith	Woolston Primary School Replacement	3.281	2.769	0.582	0.070	New Primary School.	Building completed September and opened October 2013. External works in progress.
8	Hilary Smith	Barrow Hall Primary - Roof Works	0.052	0.046	-	0.006	Infants Roof Works.	Works Complete.
9	Hilary Smith	Park Road Primary - Roof Works	0.058	0.049	-	0.009	Full Roof Works.	Works Complete.
10	Hilary Smith	Penketh South Primary - Roof Works	0.044	0.039	-	0.005	Full Roof Works.	Works Complete.
11	Hilary Smith	St Elphins Primary - September 2012 allocations & adaptations	0.523	0.320	-	0.203	Primary school extension to two forms of entry. LA making contribution from DfE Basic Need Grant	Completed
12	Hilary Smith	Brookacre Primary - September 2012 allocations & adaptations	0.153	0.153	-	-	Primary school extension for bulge class in September 2012	Works Complete.
13	Hilary Smith	Oakwood Primary - September 2012 allocations & adaptations	0.526	0.526	0.004	0.004	Primary school extension to three forms of entry.	First stage (2 years, nursery & KS1) completed. Second stage (KS2) at design.
14	Hilary Smith	Evelyn Street - Part Replace/Remodel Refurbishment	0.012		0.012	-	Part replace and part remodel Evelyn Street Primary School.	Feasibility study underway to inform actual financial requirements - indicative budget only at this stage.

Families and Wellbeing - Capital Programme			2013/14 Q2 - Q3 Budget Monitoring				Overview/Key Highlights	
Project Ref.	Project Manager	Project Description	2013/14 Revised (December) £m	2013/14 Spend at Period 9 £m	2013/14 Commit. £m	2013/14 Variance £m	Detailed Description of Project	Progress of Project
Children and Young People Services			-			-		
15	Hilary Smith	Broomfields Junior - Rationalise/Remodel	0.005		0.005	-	Rationalise surplus accommodation and remodel Broomfields Junior School.	Feasibility study underway to inform financial requirements - indicative budget only at this stage.
16	Hilary Smith	Dallam Primary - Condition and Suitability Issues	0.003		0.003	-	Address priority condition and suitability issues at Dallam Community Primary School.	Feasibility study underway to inform financial requirements - indicative budget only at this stage.
17	Hilary Smith	Devolved Formula Capital - Primary Schools	0.257	0.159		0.098	DFC funding for Primary Schools - grant is split over all Primary Schools.	On going. Reviewed at year end to finalise budget (schools have control of when funding is spent).
18	Hilary Smith	Complete Secondary School Projects - Retentions Only	0.206	0.157		0.049	Various Secondary School Projects - complete with retention payments due.	Achieved practical completion, retention and final fee outstanding.
19	Hilary Smith	Devolved Formula Capital - Secondary Schools	0.037	0.101		0.064	DFC funding for Secondary Schools - grant is split over all Secondary Schools.	On going. Reviewed at year end to finalise budget (schools have control of when funding is spent).
20	Hilary Smith	SEN Review - relocation of Fox Wood and Green Lane.	4.196	1.196	0.345	2.655	Redevelopment of Woolston High for Fox Wood and Green Lane.	Currently under construction. Due to be complete for schools to move in September 2014.
21	Hilary Smith	Devolved Formula Capital - Special Schools	0.030	0.024		0.006	DFC funding for Special Schools - grant is split over all Special Schools.	On going. Reviewed at year end to finalise budget (schools have control of when funding is spent).
22	Hilary Smith	Complete C&YP Projects - Retentions Only	0.047	0.021	0.005	0.021	Various Children & Young People Projects - complete with retention payments due.	Achieved practical completion, retention and final fee outstanding.
23	Hilary Smith	School Kitchen & Dining Facilities	0.131	0.131		-	Grant for Schools for cashless catering programme.	On going.
24	Hilary Smith	Short Breaks Capital Fund - children with disabilities	-			-	Create opportunity for children with disabilities to access respite facilities outside school.	Incorporated requirements into SEN Review - Schools proposals.
25	Hilary Smith / Tracy Ryan	Development of Orford Youth Base & Outdoor/Mobile Youth Provision	0.118	0.118	0.010	0.010	External developments completed.	On going. Various projects involved in development of Orford Youth Base including new mobile provision..
26	Hilary Smith	Early Education for Two Year Olds	0.019	0.019		-	Early Education for Two Year Olds.	Completed
27	Hilary Smith	Early Education for Two Year Olds - Dallam Primary	0.036	0.036	0.002	0.002	Early Education for Two Year Olds.	Completed
28	Hilary Smith	Early Education for Two Year Olds - Meadowside Primary	0.134	0.001	0.108	0.025	Early Education for Two Year Olds.	Completed
29	Hilary Smith	Base for an Integrated Service for Disabled Children	-			-	A new admin base for multi-agency services for disabled children colocated with Special Schools.	At feasibility stage - report to Executive Board - 10 February 2014.
30	Hilary Smith	Replacement of Families and Wellbeing Social Care Case Recording System	-			-	Replace current ICS system with a system that is flexible, able to respond to changing needs and high usability.	At feasibility stage.
31	Hilary Smith	Capital Repairs	0.025	0.025		-	Emergency Capital repairs code for Children's Services.	Completed
32	Hilary Smith	Children's Residences Maintenance Works	-	0.002		0.002	Additional cost at St Kath's & Social Services Properties.	On going.
33	Hilary Smith	Children's Centre & Admission IT Equipment	0.041	0.017		0.024	Children's Centre Developments.	On going.
34	Hilary Smith	Schools Capital Works (revenue to capital contributions)	1.301	1.301		-	Any invoices over £2k that relate to capitalized works. Income is received from schools to offset expenditure to nil balance.	On going.
Total Children and Young People Services			14.480	9.649	1.441	3.390		
Public Health			-			-		
35	Dave Cowley	Affordable Housing - S106	0.504	0.490		0.014	Funding to deal with the effects of Welfare Reform.	Executive Board report 15th July agreed strategy subject to approval of each business case. The purchase of 9 Arpley St (£177,754.46) has been journalled to the S106 code [P.00112.001]. Journal for costs of refurbishment works of all purchased properties [4no] has been requested, amounting to £67,878. Any additional costs over the budget amount will be journalled to P.00148 at year end.

Families and Wellbeing - Capital Programme			2013/14 Q2 - Q3 Budget Monitoring				Overview/Key Highlights	
Project Ref.	Project Manager	Project Description	2013/14 Revised (December) £m	2013/14 Spend at Period 9 £m	2013/14 Commit. £m	2013/14 Variance £m	Detailed Description of Project	Progress of Project
Public Health			-			-		
36	Dave Cowley / Carolyn Haining	Tackling Empty Properties	0.014	0.115	0.005	0.106	Financial assistance to owners of empty dwellings to enable them to undertake essential repair and refurbishment to enable them to be brought back into use in the private rented sector.	This budget is comprised of a carry forward of £14,000 from 2012/13 and a repayment of £803.37 from works in default - giving a total of £14,803.37. The cost of the works committed to date for renovation of the 5 purchased properties [to mitigate the effects of Welfare Reform] is £61,428 of which £54k has already been paid out - these will be journalled to the S106 code [P.00112.001] when all the works have been completed. In addition, 6 Landlord Grants have been paid, amounting to £42,819.38. Outstanding commitment on Landlord Grants [3 no] is £10,885.40. Any costs in excess of the £14,803.37 budget will be journalled to P.00148 (Discretionary budget) at year end.
37	Dave Cowley / Carolyn Haining	Disabled adaptations to private housing	0.858	0.646	-	0.212	Funding of mandatory disabled facilities grants to enable disabled people to remain in their homes and access basic facilities.	This budget is comprised of an SCG of £674,717, a capital allocation of £325,000 and 5 grant repayments of £30,918 - total of £1,030,635. Some 85 final payments have been made on grants, amounting to £649,280. A further 27 works in progress are on site, amounting to £209,933. The total DFG commitment to date is £1,087,142 (156 grants) and the amount outstanding is £437,861 (71 grants). In addition capital salaries of approximately £28k will also be claimed over the whole year [£11,984 claimed to date]. We forecast that the underspend to be carried forward into 2014/15 will be £100,000 + the grant monies repaid into the capital holding fund - amounting to £30,918 as at 21/1/14. This means that the TOTAL underspend to be carried forward is likely to be £130,000
38	Dave Cowley / Carolyn Haining	Private housing - renewal assistance	0.067	0.086		0.019	Financial assistance in the form of grants and loans to assist owner occupiers and landlords of tenanted properties [with below market rents] to carry out essential repairs to remove category 1 hazards [under the Health & Safety Hazard Rating System - HHSRS] or to meet the Decent Homes Standard and other functions included in the Council's Housing Renewals Policy.	This budget is comprised of an allocated carry forward of £67,000 from 2012/13 plus any repayments made back into this budget throughout the year. To date £108,470 has been repaid this financial year (5 no). The total budget is therefore £175,471 as at the end of January 2014. The total commitment to date on this budget is £104,670 [26 no grants / loans]. Some £86,399 [19 no] grants / loans have been completed this financial year, leaving an outstanding commitment of £31,960 [7 no grants / loans]. This amount also includes the loan agent fee of £6,000 [to WHA]. The programme is on target. Any costs on the Tackling Empty Properties Budget (P.00197) which exceed the budget amount will be journalled to this budget heading at year end.

Families and Wellbeing - Capital Programme			2013/14 Q2 - Q3 Budget Monitoring				Overview/Key Highlights	
Project Ref.	Project Manager	Project Description	2013/14 Revised (December) £m	2013/14 Spend at Period 9 £m	2013/14 Commit. £m	2013/14 Variance £m	Detailed Description of Project	Progress of Project
Public Health			-			-		
39	Jane Critchley	Whitecross Community Centre - replacement of all weather pitch	0.095	0.047		- 0.048	Replace carpet surface and shock pad to ensure there is continued access to all weather pitch facilities in Bewsey and Whitecross area.	Resurfacing completed additional work costed to secure cover to stop children climbing into the area when gates are locked. Lighting renewed.
40	Jane Critchley / Steph Duerden	Upgrading Community & Youth Facilities (deliver Community Asset Transfer)	0.103	0.058		- 0.045	The Community Asset Development Fund exists to support the refurbishment of buildings managed within the community. It supports community, partner-owned and transferred assets.	Bid being appraised for development of community space at Ryfields
41	Jane Critchley / Chris Skinkis	Orford Lane/St Peters Way Community Centre	0.010	0.007		- 0.003	A new Community Centre to be sited on the corner of Scott St/Battersby Lane near the Albion public house. This will also serve the newly developed area to the east of Battersby Lane.	Planning application has been granted. Project will go out to tender once this is granted.
42	Jane Critchley	Dallam Estate Project	-		0.001	0.001	To regenerate two adjoining communities that have the highest deprivation scores in Warrington.	Programme Board developing regeneration plan that includes the delivery of a community hub. This may not be fully realised until 2014/15 although there is already commitment to the principle.
43	Jane Critchley	Community Hub Development	-			-	To support the delivery of 3 Community hubs in the West, East and South Areas.	Plans being submitted to create a café area, relocate offices and kitchen in order to develop new communal space adjacent to the disused bowling green at Whitecross. Working in partnership with LiveWire to consider re development of Great Sankey.
44	Jane Critchley / John Dean	Dallam/Bewsey Regeneration Programme	0.421	0.241	0.179	- 0.001	The Construction of new changing rooms on Longshaw Street as a replacement for the existing run down and inadequate changing rooms.	Changing rooms and young persons play area complete, handed over and officially opened. Pitches being levelled and proposals for a muga developed.
45	Jane Critchley	Town Centre Improvements	0.084	0.007	0.067	- 0.010	Various town centre improvements to be agreed with Councillors including improvements to queens square.	Queens square improvement now complete. Johnson Cleaning machine ordered. Benches replaced and repaired.
Total Public Health			2.156	1.697	0.252	- 0.207		
Quality Assurance			-			-		
46	Frank Pacey	Replace and upgrade social care client record systems	0.055	0.035	0.020	-	Delivery of Care Financials Project which will ensure financial probity in payments to Care providers and billing of service users.	Care financials Residential element currently being tested with an initial projected timescale for implementation February 2014. Non Residential element currently live for direct payments, client financial details, financial assessments, service types and elements, and provider details. Once Residential is live for both billing and payments, the plan is to return to commission invoicing and payments for non-residential (estimated implementation date June 2014).
Total Quality Assurance			0.055	0.035	0.020	-		
TOTAL FAMILIES AND WELLBEING			16.887	11.486	1.713	- 3.688		

Resources and Strategic Commissioning - Capital			2013/14 Q2 - Q3 Budget Monitoring				Overview/Key Highlights	
Project Ref.	Project Manager	Project Description	2013/14 Revised (December) £m	2013/14 Spend at Period 9 £m	2013/14 Commit. £m	2013/14 Variance £m	Detailed Description of Project	Progress of Project
Finance and Information Services			-			-		
47	Danny Mather	Capitalisation of Redundancy & Pension Costs	2.499	0.527		- 1.972	Capitalisation of Redundancy/Pension costs - these funds will be allocated at year end when the full costs are known.	Application approved 17 January 2014 for £2.499m redundancy and pension capitalisation direction for 2013/14.
48	Shaer Halewood	SAP - Budget Planning & Monitoring software	0.117	0.069	0.048	-	Front end software package that uses data in SAP and loads it into user friendly windows based format for budget holders to manage their budgets, track virements and input forecast and comments.	Project go live implementation delayed until 2014/15 for end users. However back office users will hopefully still go live in February 2014 so the majority, if not all the expenditure will be in 2013/14 and will all be spent by the project end (June 2014).
49	Keith Traverse	ICT Infrastructure Modernisation/Unified Communications	0.141	0.013	0.051	- 0.077	Project includes 2 major projects of IP telephony and the external web hosting project, in conjunction with Jadu. There will be on going capital costs required for these, therefore the project code will be active for approx. 3 years.	A detailed report for progress of the project has been provided which is hyperlinked.
50	Keith Traverse	ICT Projects arising from consequences of major investment within ICT modernisation programme	0.022		0.017	- 0.005	Subsequent to the major investments in ICT infrastructure, carried out in 2010-11, known as the ICT Modernisation Programme, the following projects are required to maintain the momentum of that initial investment in modernising the Council's systems and to cater for growth changes since the 2010/11 capacity planning work.	A detailed report for progress of the project has been provided which is hyperlinked.
51	Keith Traverse	ICT Electronic Document Management System & ICT Infrastructure	0.804	0.327	0.225	- 0.252	Improvements to EDM and associated corporate ICT infrastructure to improve reliability, resilience and end-user computing environment. There will be on-going capital cost required for these work streams, therefore the project code will need to be active for approx. 2 years. The capital funds will used to replace aged hardware, both desktop pc's, laptops and servers, without which IT will be inaccessible to a growing number of users of essential WBC systems. The capital funds will also be used to deploy the latest operating system - Windows 7 - to replace Windows XP which is soon to lose support from Microsoft. The capital funds will be used to address some Disaster Recovery Issues and improve the organizations agility with IT.	A detailed report for progress of the project has been provided which is hyperlinked.
52	Keith Traverse / Marketa Garry	Superfast Broadband Project (SFB)	0.540	0.121	0.046	- 0.373	To enable a partnership to take place with Cheshire East and West Councils to initiate a project to seek to attract UK Govt and EU funding to bring super fast broadband to areas of Warrington in which there is no provision of future plans by the private sector.	<ul style="list-style-type: none"> Project established across Cheshire, tender awarded, Project Team established - Completed Phase 1 – surveys being completed by Jan 2014 Current estimate for completion over the next 3 years is £534.5k The remainder (£516k) is likely to be required to cover additional works and WBC contributions in the latter two years.
53	Alex Grundy	Contact Warrington Times Square Centre	-	0.001		0.001	To utilise a vacant unit in Time Square, adjacent to Warrington Market for the purpose of offering an extended Contact Warrington Service. The current facility at Horsemarket Street is operating at maximum capacity and to remain there will require additional investment if the new facility is not opened.	Project Completed. No further expenditure to be incurred.

Resources and Strategic Commissioning - Capital			2013/14 Q2 - Q3 Budget Monitoring				Overview/Key Highlights	
Project Ref.	Project Manager	Project Description	2013/14 Revised (December) £m	2013/14 Spend at Period 9 £m	2013/14 Commit. £m	2013/14 Variance £m	Detailed Description of Project	Progress of Project
Finance and Information Services			-			-		
54	Keith Traverse	Compliance with Payment Card Industry Data Security Standards (PCI-DSS)	0.045	0.009		0.036	Compliance with Payment Card Industry Data Security Standards (PCI-DSS) - 3 year hosted service via Civica.	A detailed report for progress of the project has been provided which is hyperlinked.
55	Alex Grundy	Digital Strategy Phase 1	0.104	0.016		0.088	Encouraging a 'channel shift' by making the council website more accessible, and increasing the transactions available through it, customers will be able to utilise council services at a time more convenient to them at a cheaper cost to the council.	New project – recruitment of staff being completed for project team.
56	Keith Traverse	Replacement & Purchase of Print Unit Equipment	0.029	0.029		-	To replace 'end of life' equipment that is no longer fit for purpose, and to purchase additional equipment to reduce printing costs whilst extending the services offered to Print Unit customers.	A detailed report for progress of the project has been provided which is hyperlinked.
57	Keith Traverse	SAP Continuity & Resilience Improvements (via Virtualisation)	0.147		0.132	0.015	To improve the disaster recovery situation for SAP (as part of the identified Top 11 systems) subsequent to a major incident at the Council's Primary Data Centre. The project will also update the SAP servers to MS Windows Server 2008 R2 and utilise virtual servers.	A detailed report for progress of the project has been provided which is hyperlinked.
58	Keith Traverse	Procurement of 'Extreme' Network Switches	0.036	0.035		0.001	To purchase 13 additional 'Extreme' switches to provide resilience and continuity within the local and wide area network. This will cover 'fix on fail' and also provide assurance during routine works and maintenance.	A detailed report for progress of the project has been provided which is hyperlinked.
59	Keith Traverse	Planning Improvement Plan	0.231	0.047	0.011	0.173	Support the 'Planning Service Improvement Plan' through improved information and management systems. In particular, implementation of electronic document management, changes to the 'planning portal' on the councils web site, replacement of aged personal computers, automation and software upgrades.	A detailed report for progress of the project has been provided which is hyperlinked.
60	Keith Traverse / Richard Taylor	End User Computing - Management Systems and Technologies	0.184	0.002		0.182	Introduction of supporting management systems as well as upgrading, replacing, and rationalising hardware and software within the 'End User Computing Environment (EUCE) to provide a consistent platform currently based on Windows 7 and Office 2010 together with associated productivity tools.	A detailed report for progress of the project has been provided which is hyperlinked.
Total Finance and Information Services			4.899	1.196	0.530	3.173		
Integrated Commissioning			-			-		
61	Mike Alsop	Capital Investment in Community Capacity	0.195	0.095		0.100	Investment in Telecare, Assistive Technology and Information and Advice services infrastructure including development of website, shop online for care model and RAS tools.	Project to introduce electronic monitoring of reablement within Intermediate Care Service has commenced with spend of £95k. £100k transferred to F & WB Project for implementation of CareFinancials for Residential Care and Non Res. Additional amounts to be transferred to Equipment for In House ASC services and for additional Telecare and Assistive Technology proposals as per bids to CIPG.
62	Steph Duerden	Museum Redevelopment Scheme	0.495			0.495	Works at the Museum which is funded from a Heritage Lottery Grant.	Expenditure is currently being incurred on revenue and needs transferring to capital project.

Resources and Strategic Commissioning - Capital			2013/14 Q2 - Q3 Budget Monitoring				Overview/Key Highlights	
Project Ref.	Project Manager	Project Description	2013/14 Revised (December) £m	2013/14 Spend at Period 9 £m	2013/14 Commit. £m	2013/14 Variance £m	Detailed Description of Project	Progress of Project
Integrated Commissioning			-			-		
63	Steph Duerden	Orford Park Sports village	0.216	0.153	0.044	- 0.019	This spend takes into account the building programme being 4 months behind on delivery due to settlement issues. These are now resolved and works are now progressing on the revised programme. Building handover scheduled for 30th April 2012.	Building completed, situation with defects still being negotiated. Estimated outturn costs are now up to date with information that was previously held separately and are being monitored closely. A process issue in SAP suggested an overspend but this has now been addressed and I can confirm the project is still within budget. Some expenditure has been approved to improve the facilities or address H&S issues on the site i.e. safety fencing around the pond area, fencing around bowling area and the purchase of more appropriate equipment. However, the largest increase on expenditure relates to contractor fee's i.e. M Pulse.
64	Steph Duerden	Town Centre Events	0.070	0.004		- 0.066	Town Centre Events and Animation Infrastructure.	2013/14 programme agreed with Events management group after discussion with Town Centre Champion and Culture Warrington.
Total Integrated Commissioning			0.976	0.252	0.044	- 0.680		
Performance and Partnerships			-			-		
65	Anton Fields	Council Intranet improvement to enable self service	0.090			- 0.090	Provide a new intranet platform for the council and a redesigned intranet site focussed on users top tasks.	Tender process nearing completion, preferred bidder has been selected, now moving on to agreeing the contract. Decision was delayed by two weeks to seek clarification on some technical points. Still plan to spend in this FY (Q4) against the revised schedule.
Total Performance Partnerships			0.090	-	-	- 0.090		
Corporate Governance			-			-		
66	Ian Mason	Legal Case Management System	0.080	0.050	0.042	0.012	Purchase case management software that requires an integrated legal ICT system that will allow it to move away from paper to electronic files.	Legal case management project is now substantially implemented. There will be no anticipated slippage.
Total Corporate Governance			0.080	0.050	0.042	0.012		
TOTAL RESOURCES AND STRATEGIC COMMISSIONING			6.045	1.498	0.616	- 3.931		

Economic Regeneration, Growth and Environment -			2013/14 Q2 - Q3 Budget Monitoring				Overview/Key Highlights	
Project Ref.	Project Manager	Project Description	2013/14 Revised (December) £m	2013/14 Spend at Period 9 £m	2013/14 Commit. £m	2013/14 Variance £m	Detailed Description of Project	Progress of Project
Transport and Environment			-			-		
67	Keith Sanders	Estate Action (Partnership with Golden Gates Housing)	0.080	0.080	0.072	0.072	Estate action work to highway assets in Orford in partnership with Golden Gates castle walls project.	Works are on-going in conjunction with Golden Gates works. Programme of works is subject to progress of estate action works but all on plan to be complete this financial year.
68	Andy Farrall	E&R Operational Services Venture Capital Trading Company	-			-	A decision has yet to be made on whether it is appropriate to pursue a "Trading Company" - work is on-going to examine the options.	No longer needed - budget removed from programme.
69	Rachel Waggett	Travellers Transit Site	0.040		0.018	- 0.022	Travellers transit site facility to ensure other sites are not misused.	Agreement of the specification for transit site is completed. Consultants to be appointed to conduct site appraisal process.
70	Charles Goodall	Travelling Show people Site Relocation	0.400			- 0.400	To acquire a suitable site and relocate two families of Travelling Show people currently located on Winwick Street behind Central Car Hire within the Stadium Quarter area of the Town Centre.	The location of sites has been discussed with members - preference for the Showman to remain in the same ward of Bewsey and Dallam and this is to reduce possible disruption to the families. Their children also attend local schools. Three possible sites identified and a final solution expected to be in place by end Jan14.
71	Sharon Walls	Road Maintenance	2.462	1.634	0.240	- 0.588	Asset Management focuses on our proposals for maintaining the physical transport assets which make up our transport networks. The local highway network and associated infrastructure forms the largest capital asset for many local authorities and includes roads, footways, cycleways, bridges, street lighting, traffic signals, bus stops, street furniture and signs. Asset Management is the process by which we seek to ensure an optimal allocation of resources towards the management, operation, preservation and enhancement of infrastructure in order to meet current and future needs. Budgets will be spent with works progressing in the final quarter.	On track. Bridges on track - 70k of commitment will not be spent this year and remaining commitment will need to be carried to next year. Note: budget contribution to Warburton Bridge Road scheme within 072 Traffic Signals Enhancements (44k transferred from budget).
72	Colin Jenkins	Bridge Maintenance	0.484	0.351	0.203	0.070		Budget contribution to bridges and maintenance from LSTF underspend and UTMCRTP both to be returned next financial year (total 254k). Bus Shelter maintenance on target for full spend - remaining commitment needs to be cleared.
73	Hannah Davidson	Traffic Signals - Maintenance	0.155	0.087	0.042	- 0.026		
74	John McCoroskin	Bus Stop - Maintenance	0.025	0.025	0.020	0.020		
75	Sharon Walls	Street Lighting Structural Works	0.550	0.224	0.041	- 0.285		
76	Sharon Walls	Additional Highways Structural Maintenance	1.322	0.271	0.226	- 0.825	Additional road resurfacing and treatment schemes across the Borough (capitalised from revenue).	Additional works are programmed for across the Borough and on track for completion this current year.
77	Sharon Walls / David Smith	Fleet replacement programme (Public Realm services)	0.160	0.160		-	Replace ageing grounds maintenance fleet for the coming season and replace ageing street lighting platform.	Current spend FY 13/14 is £160k. Current commitment FY 13/14 is £145k anticipated delivery April 14.
78	Sharon Walls	Local Centre Footway Improvements	0.350			- 0.350	Improve the footway programme in local shopping areas in vulnerable/deprived areas and support local economy outside of the town. Exact locations & extents to be agreed with Members and residents.	Schemes have been identified on track for delivery by end of 13/14.
79	Sharon Walls	Lower Bridge St & Town Centre (street enhancement)	1.348	0.309	0.100	- 0.939	Resurface and improve public realm across the town including Lower Bridge Street, Sankey Way and surrounding streets in and around the cultural quarter.	Works to Lower Bridge Street due to commence on site in late August 2013.
80	Sharon Walls	Highways Maintenance Investment	1.000			- 1.000	Additional road maintenance resurfacing and asset improvement works across the Borough.	Identified sites and schemes are being designed and planned during Nov/ Dec for a Q4 delivery.
81	Sharon Walls	Shaw Street, Culcheth Car Park and Recreational Facilities	-			-	Car park improvements to alleviate the traffic management problems on Shaw street plus refurbishment of the changing / leisure facilities in 14/15.	Detailed plans and site assessments are planned to start in November.

Economic Regeneration, Growth and Environment -			2013/14 Q2 - Q3 Budget Monitoring				Overview/Key Highlights	
Project Ref.	Project Manager	Project Description	2013/14 Revised (December) £m	2013/14 Spend at Period 9 £m	2013/14 Commit. £m	2013/14 Variance £m	Detailed Description of Project	Progress of Project
Transport and Environment			-			-		
82	Dave Vasey	Palmyra Square - Street Columns	-			-	Replacement of columns around the square.	Project completed.
83	John Drake	Sycamore/Hood Lane Pedestrian & Cycle Facilities	0.008	0.001	0.007	-	New pedestrian and cycle facilities.	Project completed.
84	John Drake	S106 Tesco Express - Knutsford Rd	-			-	Enhanced pedestrian facilities at the junction.	Project completed.
85	John Drake	S106 Woolston Grange - Longbarn Boulevard	0.265	0.142	0.034	- 0.089	New pedestrian crossing facility.	Project completed as per legal agreement. Additional works requested following consultation with residents (footbridge removal and associated footway construction, various traffic management initiatives)
86	John Drake	S106 Saxon Park	0.005		0.005	-	Construction/reinstatement of PROW following development of Phase 2.	Substantially complete - awaiting resolution over land ownership re PROW.
87	John Drake	S106 - Cromwell Ave/Europa Boulevard (Pedestrian & Cycle Facilities)	0.004	0.004		-	Pedestrian and cycling facilities.	Project completed.
88	John Drake	S106 Lakeside Drive	0.009	0.009		-	Highways improvements associated with the development.	On going, various initiatives completed to date (includes cctv, road marking renewal, and modelling works).
89	John Drake	S106 Eagle Ottawa	-			-	The introduction of junction protection (doubled yellow lines) parking restrictions at the junction of the proposed site access and Thelwall Lane and at the junction of Nook Lane and Thelwall Lane. The introduction of a 20 mph speed restriction, traffic calming measures and street lighting improvements on Nook Lane between its junctions with Thelwall Lane and Gaskell Avenue.	Awaiting feasibility study and detailed design.
90	John Drake	S106 Birchwood Shopping Centre	0.030			- 0.030	provision of 2 pedestrian crossings on Dewhurst Road, one to serve as a link between the Development and the station, and the other near to the junction with Benson Road.	Consultation proposed July 2013 - with works to be included within the overall LSTF proposals for the Birchwood Area.
91	John Drake	S106 Red Cott Farm	-			-	provision for a highways contribution towards initiatives in the vicinity of the site.	Awaiting programming.
92	John Drake	S106 Ainscough Road	0.020			- 0.020	S106 for highway network within a 3000 metre radius of the land. Improving pedestrian/cycle facilities on Dewhurst Rd & Admirals Rd.	Monies to contribute towards the overall 'Access for All' scheme proposed for Birchwood Railway Station (monies to contribute towards pedestrian/cycle improvement proposed as part of the scheme).
93	John Drake	S106 Garven Place, Legh St	0.016	0.016		-	Establishment of pedestrian crossing facility - Legh Street (Puffin crossing).	Project completed.
94	John Thorp	Pedestrian Improvements: PRoW	0.025	0.029		0.004	Active Travel focuses on providing for walking and cycling as the main modes for making day-to-day journeys but also includes equestrianism and Public Rights of Way which are more likely to be related to leisure purposes. We have grouped these modes together as users are often seeking similar characteristics in their choice of routes; attractive lightly trafficked and/or lower speed roads, facilities to help them safely use busier roads, or traffic free off-road routes.	On track. PRoW main projects complete. Review of one way streets for Contra Flow Cycling complete - but traffic management internal resources may not be available to implement this year. Likely underspend of £9k on P.00074 code.
95	Alan Dickin	Cycling Improvements	0.022	0.007		- 0.015		Programme of minor footway and access kerb improvements have been agreed will be implemented in Q4.
96	Alan Dickin	General Accessibility Improvements	0.029	0.007		- 0.022		

Economic Regeneration, Growth and Environment -			2013/14 Q2 - Q3 Budget Monitoring				Overview/Key Highlights	
Project Ref.	Project Manager	Project Description	2013/14 Revised (December) £m	2013/14 Spend at Period 9 £m	2013/14 Commit. £m	2013/14 Variance £m	Detailed Description of Project	Progress of Project
Transport and Environment			-			-		
97	Lesley McAllister	Travel Planning and Marketing	0.024	0.003	0.006	- 0.015	Smarter Choices focuses on decisions people make about their journeys including if they need to travel, when and where, and mode of transport used. The aim is to change individuals travel behaviour and encourage them to make more sustainable choices such as Active	On track. DfT Grant of £91k allocation received, to be claimed retrospectively.
98	Lesley McAllister	Cycle Training	0.095	0.055	0.037	- 0.003		
99	John McCoskin	Bus Stop Enhancements	0.017			- 0.017	Public Transport covers all forms of passenger transport services which are available for use by the general public as opposed to private transport modes such as car, motorcycle or bicycle. Forms of public transport currently available in Warrington include express coach, local bus and rail services and taxi/private hire vehicles. In the future modes could include light rapid transit services such as tram/light rail or express/guided bus. Programme area on track to be spent in full. Notable projects include study work to inform the upgrade of the Real-Time Passenger Information System and the preparation of a successful bid to DfT's Access for all fund for lifts at Birchwood Station.	Increased allocation agreed for Access for All scheme at Birchwood station by Portfolio Holder - Spend dependent on Network Rail although agreement anticipated soon. Locations for additional bus shelters agreed - £9k further spend anticipated in Q4 on P.00300 plus fees - commitment needs to be raised. Audits of Sankey, Padgate and Glazebrook Stations to be commissioned. Birchwood Station agreement to be signed and Design and Build contract awarded by end of January 2014 - scheme to start on site February 2014 - completion Autumn 2014. WBC monies will not be spent until 2014/15 - DfT monies to be defrayed first. Budget will need to be carried to 14/15.
100	Steve Hunter	Rail Schemes & Studies	0.800	0.132	0.233	- 0.435		
101	Alan Dickin / Dave Rostron	Parking Strategy	0.043	0.020	0.013	- 0.010	Managing Motorised Travel includes routes and facilities used by private motorised passenger modes such as cars and motorcycles and the transportation of freight goods by road, rail or water. Elements of parking policy and Park-and-Ride are also considered under Managed Motorised Travel together with the longer-term need to manage demand from private motorised vehicles. The Parking Strategy work is now complete and work is in hand on the implementation of the strategy and procurement of a new Parking Services Contract.	Budget adjusted to accommodate re-contract process. Spend on the commitment imminent.

Economic Regeneration, Growth and Environment -			2013/14 Q2 - Q3 Budget Monitoring				Overview/Key Highlights	
Project Ref.	Project Manager	Project Description	2013/14 Revised (December) £m	2013/14 Spend at Period 9 £m	2013/14 Commit. £m	2013/14 Variance £m	Detailed Description of Project	Progress of Project
Transport and Environment			-			-		
102	Mark Tune	Traffic Management - Minor Works	0.064	0.006	0.019	- 0.039	<p>The Safety and Security covers infrastructure improvements to Safer Routes to school to encourage more walking and cycling on the school journey; changes to the highway environment to design out road traffic collisions as part of the Local Safety Schemes Programme; introduction of facilities' to aid pedestrian movements and remove barriers to accessibility; changes to highway arrangements as part to the Traffic Management Minor Works programme to assist in the safe and efficient passage of all road users; and delivering the council's commitment of introducing 20mph speed limits in the majority of residential roads in Warrington to promote wider travel options through providing a more attractive environment for pedestrians and cyclists.</p> <p>The Network Management theme sets out how we propose to make best use of the existing highway network and fulfil our Network Management Duty. This duty established under the Traffic Management Act (2004) is to "secure the expeditious movement of traffic on the highway network, and to facilitate the same on other authorities' networks". Maximising highway network efficiency for different users (Active Travel, Motorised or Public Transport) forms a key part of Network Management.</p>	<p>The 20mph project is being delivered over 3 years. Anticipated project completion 2014/15. Batch 1 complete. Batch 2-4 underway. Future batches on schedule.</p> <p>SRTS - Planning consent was obtained in April for removal of the hedgerow to facilitate the new footpath and design of the improvements is complete. The implementation of the improvements has been delayed due to on-going negotiations with a 3rd party landowner regarding a strip of private land required for the scheme. The use of CPO powers is now being considered to run in parallel with the negotiations. There is still uncertainty over the start date of the scheme and it is likely that the budget will need to be carried to next year.</p> <p>Various projects continue to be developed through to feasibility stage - for future programme and contingency works.</p> <p>Majority of planned spend against Minor Works not yet showing on SAP. Overall spend will appear light due to payment processes linked to Mayrise.</p> <p>Overall: on-track.</p>
103	Mark Tune	Road Safety - Local Safety Schemes	0.145	0.017	0.018	- 0.110		
104	Mark Tune	Road Safety - 20mph Speed Limits	0.115	0.043	0.052	- 0.020		
105	Lesley McAllister	Safer Routes to Schools	0.065	0.001	0.003	- 0.061		
106	Sean Halewood	Pedestrian Improvements: (Crossings)	0.056	0.003	0.003	- 0.050		
107	Hannah Davidson	Traffic Signal Enhancements	0.253	0.040	0.004	- 0.209		
108	Hannah Davidson	LTP UTMC Development	0.056	0.030	0.006	- 0.020		
109	Hannah Davidson	Network Management Plan	0.022	0.004	0.003	- 0.015		
110	Alan Dickin	Monitoring & Strategic Studies	0.155	0.042	0.061	- 0.052		
111	Steve Hunter	Neighbourhood Allocations	0.013	0.007	0.005	- 0.001		

Economic Regeneration, Growth and Environment -			2013/14 Q2 - Q3 Budget Monitoring				Overview/Key Highlights	
Project Ref.	Project Manager	Project Description	2013/14 Revised (December) £m	2013/14 Spend at Period 9 £m	2013/14 Commit. £m	2013/14 Variance £m	Detailed Description of Project	Progress of Project
Transport and Environment			-			-		
112	Steve Hunter	Central Neighbourhood Allocation	0.031			- 0.031	Requests submitted to each board to generate a 'wish list' of initiatives, this will then be assessed and the works prioritised in conjunction with the respective boards.	Contribution to West Board hospital scheme agreed. Also contribution to Bewsey and Dallam project schemes is agreed. Remaining budget will need to be carried to next year.
113	Steve Hunter	East Neighbourhood Allocation	0.015			- 0.015	Requests submitted to each board to generate a 'wish list' of initiatives, this will then be assessed and the works prioritised in conjunction with the respective boards.	Agreement reached on 13/14 programme with East NAB - expected to spend in full.
114	Steve Hunter	South Neighbourhood Allocation	0.022			- 0.022	Requests submitted to each board to generate a 'wish list' of initiatives, this will then be assessed and the works prioritised in conjunction with the respective boards.	Main project agreed (Longwood Road to Dudlow Green Road footway). Full spend anticipated.
115	Steve Hunter	TC Neighbourhood Allocation	0.015			- 0.015	Requests submitted to each board to generate a 'wish list' of initiatives, this will then be assessed and the works prioritised in conjunction with the respective boards.	Programme agreed in November - bus stops and contribution to accessibility and road safety schemes near hospital. Full spend anticipated of adjusted budget.
116	Steve Hunter	West Neighbourhood Allocation	0.015			- 0.015	Requests submitted to each board to generate a 'wish list' of initiatives, this will then be assessed and the works prioritised in conjunction with the respective boards.	Programme for this year agreed with focus on access to hospital. Full spend anticipated.
117	Mia Crowther	Local Transport Plan 2013/14	- 0.000			0.000	Local Transport Plan - 2013/14 Allocation to be split over projects.	Combination of other projects. Overall progress is on track.
118	Brian Coupe	A49/A50 Long Lane	0.083	0.048	0.086	0.051	Finalisation of major junction improvement at A49 / A50 / Hawleys Lane junction.	The only outstanding issue is the account with United Utilities who have claimed additional costs and this has been challenged. We wrote to them last year and have had no response but as they are the ones claiming additional costs, I am letting it drift because they need to get back to us with justification and the ball is in their court to respond. I have no timescale for this so it is best if this is dealt with through the LPT when and if they do get back to us. I am currently waiting for our street lighting to provide justification for costs in the region of £1k they incurred completing works on site which should have been done by the contractor. Once this is received it should be the last payment to be made on the account and I will then request all outstanding commitments to be removed.
119	Dave Rostron / Alyn Jones	Urban Traffic Management Control / RTP1	0.011	0.005	0.036	0.030	This is the UTMC 'Reserve Account' and has been earmarked for the procurement of a new RTP1 system. Budget has been re-profiled.	Commitment for 35k added to system for staff resources will not be used this year. Further appraisal being done - new system requires £60k for detection units plus £2k per bus stop display. Full spend of adjusted budget anticipated.
120	Steve Hunter	Community Infrastructure Fund	0.036	0.036	0.003	0.003	Package of measures to improve Pedestrian and Cycle accessibility between housing growth sites and employment opportunities. Funded primarily from HCA/DfT with match contribution from Local Transport Plan.	Project completed April 2011. Final retention monies in process of being released. No further update October 2013
121	John Drake	S278 Charon Way Works (TRO's)	-			-	Legal orders currently being processed (subject to legal advertising) - measures will then be introduced in early 2012.	Project completed.

Economic Regeneration, Growth and Environment -			2013/14 Q2 - Q3 Budget Monitoring				Overview/Key Highlights	
Project Ref.	Project Manager	Project Description	2013/14 Revised (December) £m	2013/14 Spend at Period 9 £m	2013/14 Commit. £m	2013/14 Variance £m	Detailed Description of Project	Progress of Project
Transport and Environment			-			-		
122	Rachel Waggett	Fiddlers Ferry Marina - replacement of Marsh House Bridge	0.126	0.100	0.026	-	Replace the existing Marsh House Bridge which crosses the Sankey Canal to give access to Fiddlers Ferry Marina, Boatyard and Sailing Club.	Planning permission has been received for replacement lift bridge. Awaiting decision from Coastal Communities Fund on bid for additional funding to support bridge construction. Decision has been delayed from December 2013 to January 2014. Delay may impact delivery to agreed programme.
123	Steve Hunter / Alan Dickin	Priority Transport Infrastructure (design & development)	0.766	0.704	0.034	- 0.028	Significant transport infrastructure across the Warrington Waterfront Regeneration area. Expanded to include wider Priority Infrastructure projects - Warrington West and Northern Orbital Bus Corridor.	Funding submission expanded to cover funding for Warrington West Station and Northern Orbital Public Transport Corridor. Progress continues in delivery of study and design work to develop infrastructure schemes to a state of readiness where funding bids can be made. Cheshire & Warrington Local Transport Body submitted recommendation to DfT for match funding for Warrington West Station and placing early phases of Warrington Waterfront scheme in a development pool of schemes to be considered for funding via LEP Growth Plans via Strategic Economic Plan. Work packages recently commissioned include Utilities , Topographical and Habitat Surveys. Property on Liverpool Road acquired in September 2013 - spend should come through in Q3. Warrington West Station Business Case work continuing - GRIP 3 tbc by end of 2013/14. Out turn for 2013/14 has been revised following risk workshop and programme review carried out in December 2013. The reduced expected expenditure is due to a slippage in a number of elements of the programme alongside an additional work stream commenced to set a Spatial Planning Framework for the wider area.
124	Sharon Walls	Major Gateway Improvements	0.350	0.082	0.039	- 0.229	Improve major gateway which includes road markings/strategic signage replacement/environmental improvements.	Road markings - series of main corridors included within current package, with works due to commence on site in mid/late August 2013.
125	Sharon Walls	Gateway Corridors Enhancement	0.154		0.030	- 0.124	Localised improvement along key gateways to improve first impressions and sustainably improve the environment.	Schemes are currently being developed with quick wins already rolling out including planting and signage (linked to Major Gateway Improvements project above).
126	Dave Rostron	Market MSCP Structural Work	-	0.001		0.001	Market MSCP works including lift maintenance, car park re-lining and cleaning £11k. Pepper Street retaining wall safety improvements £4k. The Forge car park, upgrade of steps between the upper and lower levels £9k. Orford Street car park re-lining £0.4k.	Project completed.
127	Sharon Walls	Flood Risk (contribution to Environment Agency scheme)	0.341	0.140	0.057	- 0.144	WBC contributing £1.2 over 3 years to the Mersey Flood defence project as agreed at Exec Board. Overall project value is of the order of 28million with an outcome that over 2000 properties and businesses will have increased protection from flooding from the Mersey in Warrington.	Payment to be made during 2013/14.

Economic Regeneration, Growth and Environment -			2013/14 Q2 - Q3 Budget Monitoring				Overview/Key Highlights	
Project Ref.	Project Manager	Project Description	2013/14 Revised (December) £m	2013/14 Spend at Period 9 £m	2013/14 Commit. £m	2013/14 Variance £m	Detailed Description of Project	Progress of Project
Transport and Environment			-			-		
128	John Nichol	LSTF New Bus route & stops	0.028	0.012	0.032	0.016	Provision of new orbital bus service (the Connect 17) to serve key employment sites in north of Warrington. Project involves service subsidy, purchase of 6 new buses, new and improved stops/shelters, passenger information and marketing.	On-track. Only £13k of the commitment will be spent. The remaining £19k will need to be written off at the end of the year.
129	John Nichol	LSTF Cycle Routes	0.567	0.057	0.220	- 0.290	New links to cycle network to provide continuous east west cycle routes linking residential areas to employment sites in north of town. Signage of key routes to be provided as part of a wider signage strategy.	Main project is the Birchwood cycle scheme. Costs are being confirmed with contractor. The Westbrook2Dallam scheme will be re-consulted on in January 2014. There will also be a package of small scale cycle schemes delivered this year.
130	John Nichol	LSTF Junction Improvements	0.300	0.070	0.049	- 0.181	Improvements to key junctions along route of new bus service on A57 to ensure reliability and also to give priority to cycle and pedestrian movements.	Awaiting costs from contractor. This will inform the overall programme
131	John Nichol	LSTF 20mph speed limits	0.082	0.047	0.018	- 0.017	20mph Speed Limits.	On track. Batches 2,3 and 4 will be delivered in 13/14.
132	Alan Dickin	LSTF Mid-Mersey	0.150	0.005	0.011	- 0.134	Contribution towards wider Mid Mersey project led by St Helens BC. To be spent on improvements to cross boundary long distance cycle route, pedestrian facilities and new bus service. As reported to Exec Board 15/10/12.	On Track - Improvement schemes on Trans Pennine Trail in progress. Phase 1 complete and Phase 2 to Halton Boundary currently on site. Sankey Valley North Scheme commenced on site in December 2013 A56 to Daresbury programmed for 2013/14 consultation planned for early 2014.
133	Dave Cotterill	Green Space Delivery Programme & Parks and Streets Refurbishment	0.060	0.040	0.014	- 0.006	Green Space projects: Sankey Canal, Victoria Park, Dallam Project and Town Centre Bridge Street Schemes. Parks & Streets refurbishments involving sports pitch drainage, play area refurbishment and infrastructure improvements.	Schemes currently in development.
134	Dave Cotterill	Dakota Park Play Areas - Chapelford	0.230	0.230		-	Creation of 2 new play areas on Dakota Park of children of different ages.	Project Completed.
135	Dave Cotterill	St Elphins Park	0.144	0.146		0.002	Creation of 2 new play areas and an adults gym area on St Elphins Park. 2 play areas consist of a younger children's play area and an older children's play area.	Project Completed.
136	Terry Hill / Rachel Waggett	Walton Hall & Estate Upgrading & Improvement	1.204	0.277	0.782	- 0.145	Development of Walton Hall & Estate.	Tender awarded to selected contractor on 20th September 2013. Project started on site on 7th November 2013, completion June 2013. Consultancy team for Walton Hall HLF bid appointed for Stage One in December 2013.
137	Dave Cotterill / Rachel Waggett	Bank Park Enhancements & Improvement	0.310	0.021	0.003	- 0.286	Development of Bank Park.	Phase 1 refurbishment works completed. Stakeholder consultation on Bank Park works commenced 1st October and completed 1st November 2013. Initial analysis shows no major issues/risks. Briefing and design process now underway.
138	Dave Cotterill	Victoria Park Improvement	0.399	0.348	0.034	- 0.017	Redesign and redevelopment of athletics arena at Victoria Park including relocation of field events, new 800 metre athletics track, football / rugby league pitch in centre of track and appropriate drainage provision.	Athletics track installed and in use. Field Event installation scheme and replacement fence completion date April 2014. Establishment of football/rugby pitch completion date May 2014.
139	Rachel Waggett / Mark Dawe	Victoria Park Regeneration Phase 2 - New Sports Facilities	0.200		0.111	- 0.089	New changing room, playing pitch and grandstand at Victoria Park for community use.	A revised budget cost estimate for Phase 2 works has been produced. A capital bid for the increased budget cost has been submitted and is on the Forward Plan for December Executive Board. Risk associated with securing supplementary budget.

Economic Regeneration, Growth and Environment -			2013/14 Q2 - Q3 Budget Monitoring				Overview/Key Highlights	
Project Ref.	Project Manager	Project Description	2013/14 Revised (December) £m	2013/14 Spend at Period 9 £m	2013/14 Commit. £m	2013/14 Variance £m	Detailed Description of Project	Progress of Project
Transport and Environment			-			-		
140	Rachel Waggett / Mark Dawe	Enhancement of car park and associated facilities at Victoria Park	0.040			- 0.040	Redesign and redevelopment of car parking facilities at Victoria Park including appropriate drainage provision.	Awaiting completion of drainage scoping report before briefing can commence.
141	Rachel Waggett / Mark Dawe	Southern Gateway - Joint Venture Company	1.385			- 1.385	Joint Venture Company with Langtree Land and Property Plc to create a southern gateway into the town. Delivery of a masterplan comprising of new homes, offices, hotel and retail.	New Project - agreed from quarter 2 report that went to Executive Board in December 2013.
142	Dave Cotterill	Sankey Valley Park Improvement	0.048	0.004		- 0.044	A range of improvements throughout Sankey Valley Park are to be delivered once project develops.	Stakeholder consultation completed in December 2013. Responses currently being assessed. Woodland works have commenced on site.
143	Rachel Waggett	Sankey Canal Restoration Project	0.010	0.007		- 0.003	To bring the Sankey Canal back into navigation from Spike Island at Widnes to the Fiddlers Ferry Marina in Warrington.	Dialogue on-going with Halton to progress the scheme in 2013/14. Coastal communities bid submitted Oct 2013 to match funding. Spend profile will depend on the outcome of the bid. Decision on funding bid was anticipated December 2013 will now be January 2014. May affect programme.
144	Dave Cotterill / Rachel Waggett	Woolston Park	-			-	Enhance Woolston Park in terms of a green open space, which is used by a number of different community groups from dog walkers, children's recreational play and sports users.	Consultation exercise completed 30th September. Design work has now commenced and start on site anticipated January 2014.
145	Terry Hill	Woolston Urban Ecology Nature Park (Manchester Road) REVIVE	0.023		0.027	0.004	Main contact completed and retention paid October 2011. Possible £10K worth of work identified outside of contact being considered. All funding received - maintenance on going with £1,500 coming from Revive as contribution. Looking to transfer this to Parks and Woodland Service to be managed through their revenue accounts.	Works completed - now being managed by Parks Service.
146	John Rowson / David Smith	Waste Project	0.149	0.002		- 0.147	Funding to be used to purchase in-cab technology to improve service delivery and link vehicles with Contact Warrington.	Evaluation has been completed award report in process for executive sign off.
147	Terry Hill	Warrington Allotments Improvement Programme	-			-	Update allotments with extensions to various sites, reconstruction of footpaths and improved water/toilet facilities.	Programme of improvements agreed including provision of toilets on sites. Works to be ordered.
148	Neil Simpson	Warrington Cemetery footpath reinstatement	0.392	0.148	0.146	- 0.098	Reinstatement footpaths at Warrington Cemetery with a variety of access needed.	Phases 1 & 2 complete, commencing phases 3 & 4 end July - early August. Works commenced 09 September due to complete works 31 January 2014.
149	Neil Simpson	Walton Lea Crematorium - traffic management improvements	-			-	Modify parking facilities and main route through the Crematorium.	Seeking further specialist advice re current position.
150	Neil Simpson	Bereavement Services Administration/Reception Facility	-			-	Refurbishment of the office facility and reception area at Walton Lea Crematorium.	Further consideration of project currently.
151	John Rowson / David Smith	Additional Street Cleaning Equipment	0.004	0.003		- 0.001	Purchase of mechanical street sweepers.	Project completed.
152	John Rowson / David Smith	Vehicle & Plant Asset Replacement Programme (Environmental Operations)	0.897	0.695		- 0.202	Replace fleet assets which are operating beyond their specified economic life which are no longer fit for purpose.	Tenders in progress to deliver commitments and remaining spend.
153	John Rowson / Paul McHenry	Purchase of Wheeled Bins and Home Composter Units	0.258	0.135		- 0.123	Funding for purchase of replacement wheeled bins for refuse collection, recycling and green waste composting, plus home composter units	Funding is being used to purchase replacement wheeled bin containers and home composters. Bins and home composter units will be purchased as and when required.

Economic Regeneration, Growth and Environment -			2013/14 Q2 - Q3 Budget Monitoring				Overview/Key Highlights	
Project Ref.	Project Manager	Project Description	2013/14 Revised (December) £m	2013/14 Spend at Period 9 £m	2013/14 Commit. £m	2013/14 Variance £m	Detailed Description of Project	Progress of Project
Transport and Environment			-			-		
154	Steve Hunter / David Smith	Purchase of Enforcement Vehicle	-			-	Purchase an enforcement vehicle for the Council which would be available to undertake a wide range of enforcement activity in various areas.	No specification or requirement provided by customer to date.
155	Andy Farrall	Town Centre - empty shops grant	0.093			- 0.093	Setting up of a grant fund to promote the renovation of empty shops.	Vinyls have been refreshed on 2 major shop fronts one of which advertises new car parking arrangements.
Total Transport and Environment			19.670	7.122	3.229	- 9.319		
Public Protection			-			-		
156	Dave Watson	Air Quality	0.135	0.011	0.003	- 0.121	Three Schemes:- Low emission study (45k, inclusive 5k transport 40k Defra), AQ equipment (5.2k), Freight Eco stars (65k-30k received to date, additional promissory award of 35k). Received March 2013 =65k	LES - work package 1 and 2, and Traffic data collection complete spend to date £15,640.08. AQ equipment purchased 3k spent. TTR consultants appointed agreed work plan first year spend will be 28K.
157	Rachel Waggett / Andy Doyle	Salix - Climate Change - Revolving Fund	0.014	0.170		0.156	Note that this is a revolving fund and therefore funding is replenished from energy budgets as agreed.	Actual spend appears over budget however this is due to revolving nature of fund which replenishes over time with regular payments from budget holders. Awaiting finalisation of end of year statement from capital programme monitoring team (issues with coding of expenditure and various elements to salix funds have complicated this - still in progress, working with accounts).
158	Rachel Waggett	Climate Change - Electric Vehicle Purchase	0.014	0.009		- 0.005	Electric vehicle purchased and charging point installed. Progressing spend of remainder.	Formed partnership with Virgin Trains to complete project. Costs identified and tender out.
Total Public Protection			0.163	0.190	0.003	0.030		
Warrington and Co			-			-		
159	Stewart Brown / Elwyn Rowlands	Building Maintenance Programme	0.800		0.092	- 0.708	Building Maintenance Programme for the Council; can include maintenance and repairs costs to Council Buildings if it satisfies the definition under the Council's Capitalisation Policy.	Awaiting draft programme, following confirmation of funding amounts - £604k (£754k minus CYPs element as withdrawn support) and additional £1.5-£1.7m.
160	Stewart Brown	Property Review Disposals	0.001	0.001	0.001	0.001	Marketing and selling property costs - all should be recoverable from property sales capital receipts.	On going, recent conversion of PDC to revenue generation rather than capital receipt (but no disposal costs as not going to auction). Former Fordon site sale now completed generating significant capital receipt.
161	Stewart Brown / Terry Redding	Bewsey Old School & Professional Development Centre - Office Relocation	0.025	0.116	0.010	0.151	Work completed on Rylands Street (linked works) with N&C management suite at NTH now constructed. Major relocation of 300+ staff completed. BOS now demolished, with unexpected issues and costs arising (bats & services). Limited further spend on this project, currently working through the final budget spend to ensure all spend is accurate and appropriate to the scheme. PDC refurbishment and relocation now completed.	Some miscoded costs for PDC are due to be reimbursed back to this project code. Additional expenditure on security, lighting and utilities works to WIA building and public walkway requested by community, police and local members following demolition.
162	Steve Park / Stewart Brown	Refurbishment work to New Town House Reception	0.020			- 0.020	H&S and Union requested work to improve reception at NTH for public and staff safety and working conditions.	Specification work underway for design.
Total Warrington and Co			0.796	0.117	0.103	- 0.576		
TOTAL ECONOMIC REGENERATION, GROWTH AND ENVIRONMENT			20.629	7.429	3.335	- 9.865		

Invest to Save - Capital Programme			2013/14 Q2 - Q3 Budget Monitoring				Overview/Key Highlights	
Project Ref.	Project Manager	Project Description	2013/14 Revised (December) £m	2013/14 Spend at Period 9 £m	2013/14 Commit. £m	2013/14 Variance £m	Detailed Description of Project	Progress of Project
Families and Wellbeing			-			-		
163	Dave Cowley	Empty Properties	-			-	Financial assistance to owners of empty dwellings to enable them to undertake essential repair and refurbishment to enable them to be brought back into use in the private rented sector.	No longer viable - to be removed from capital programme.
164	Dave Cowley	Affordable Housing	0.200			0.200	Redevelopment of the former sheltered housing scheme at Penketh Court with 43 affordable units for rent for the elderly.	Pre planning consultation completed. Planning application has been submitted.
Total Families and Wellbeing Invest to Save			0.200	-	-	0.200		
Resources and Strategic Commissioning			-			-		
165	Danny Mather	Mortgages to First time Buyers	2.000	2.000		-	Provides mortgages to first time buyers via the LAMS scheme.	The scheme was reintroduced by Lloyds in the period. They are committed to the future of it and its operating well again.
166	Danny Mather	Shared Ownership Mortgages (Local Authority Partnership Purchase)	-			-	Extend the LAMS scheme into shared ownership mortgages, this allows joint mortgages given were an individual would own 70% of the property and the Council	Scheme to be introduced in 2014/15.
167	Danny Mather / Dave Cowley	Loans to Registered Social Landlords	140.000	30.000		110.000	The Council provides loans to Registered Social Landlords to stimulate housing regeneration.	Schemes to 3 Registered Providers totalling £140m are expected to be approved by the end of March 2014. Negotiations with two other Registered Providers are on going with potential deals in 2014/15.
168	Danny Mather	Refinancing of historic Pension Fund Deficit	-			-	Proposal is to take advantage of low interest rates to refinance the Pension Fund Deficit.	Initial evaluation of scheme. Council needs Independent Actuarial report for full evaluation of scheme. If project goes ahead it will be late 2013/14.
169	Danny Mather	Purchases of Network Warrington Buses	0.400	0.400		-	Purchase buses on behalf of Network Warrington, with a profit element returned to WBC for investment.	Project completed.
170	Danny Mather	Refinancing of Network Warrington Pension Fund Deficit	-			-	Proposal is for refinancing of Network Warrington Pension Fund Deficit.	Pension Fund deficit refinanced. Cost to be capitalised is dependant on Capitalisation Direction approval. Application to be made when DCLG issue Capitalisation Direction Application later in the year.
Total Resources and Strategic Commissioning Invest to Save			142.400	32.400	-	110.000		
Economic Regeneration, Growth and Environment			-			-		
171	Neil Simpson	Crematorium Burner Replacement	0.008			0.008	First cremator installed and commissioned inc mercury abatement. Project to continue 19th Jan, decommission remain old cremator and continue crematory modification (building works) next cremator shall be commissioned February 2012.	New auto charger was fitted on the 10 December, provided by a company called LEEC.
172	Rachel Waggett	Climate Change - Carbon Reduction Programme	0.050	0.005	0.006	0.039	Invest to save funding available to premises managers to invest in carbon reducing projects. Expressions of interest have been invited and costs obtained.	Projects are on going and being brought forward under this programme. Currently obtaining cost for replacement of Appleton Thome Primary school boiler with biomass alternative. Currently estimate £100k. Setting up of Energy Services Company (ESCO) being progressed with consultancy support from this budget.
173	Sharon Walls	Street Lighting Energy, Carbon & Asset Improvement	0.650			0.650	Replacement of All street lighting luminaires over a 2/3 year investment period. Currently looking at 5 alternative luminaire solutions with different capital cost and energy savings. Also a column replacement programme over the same 2 investment periods 2/3 years and a rolling programme of column replacement option.	Street lighting teams in Warrington and Blackburn have set up a working group for a joint procurement of luminaires by the two authorities. Work is on-going on a project plan with a desired start on site of Spring 2014.

Invest to Save - Capital Programme			2013/14 Q2 - Q3 Budget Monitoring				Overview/Key Highlights	
Project Ref.	Project Manager	Project Description	2013/14 Revised (December) £m	2013/14 Spend at Period 9 £m	2013/14 Commit. £m	2013/14 Variance £m	Detailed Description of Project	Progress of Project
Economic Regeneration, Growth and Environment			-			-		
174	Stewart Brown	Strategic Property Investment Programme to support Regeneration & Investment Portfolio	-	2.428		2.428	Acquire property assets around strategic objectives - primarily regeneration, economic development and the property investment portfolio to generate revenue income.	Spend of £2.4m in 2013/14 on former Boots and other property associated with Bridge Street Quarter Development. Further properties expected to be purchased later in the year.
175	Eddy Cottrell	Bridge Street Quarter Project	4.000	0.676	0.092	- 3.232	Council to provide funding for the Regeneration of the Bridge Street Quarter site including provision of family leisure facilities (Cinema, restaurants), New Market Hall, New WBC Office Block, large scale public realm of the site and Bridge Street down to Mersey Street.	Acquisition Strategy progressing - purchase of key properties. Consultants appointed to progress Compulsory Purchase Order. Parties working to agree Heads of Terms and PDA early 2014.
176	Charles Goodall	Stadium Quarter Phase 1	0.500	0.102	0.024	- 0.374	Proposal is for provision of University Tech college for Chester University, Incubator space for WBC, Hotel, Student accommodation and grade "A" office space.	There has been spend on the Stadium Quarter (gestimate about £25k) and a further commitment for about £75k. A detailed ERDF bid has gone today which will generate £6.8m spend
177	Andy Farrall / Danny Mather	Omega Infrastructure	3.000	3.000		-	Short term loan to Owl to allow commencement of infrastructure building to take place, the advance will be paid back by HCA during 2013/14.	Loan now completed.
Total Economic Regeneration, Growth and Environment Invest			8.208	6.211	0.122	- 1.875		
TOTAL INVEST TO SAVE			150.808	38.611	0.122	- 112.075		
TOTAL 2013/14 CAPITAL PROGRAMME			194.369	59.024	5.786	- 129.559		

Changes to Capital Programme Budget (by Directorate)

2013/14 MTFP Approved Budget		514.460
2013/14 Approved Quarter 1 Budget		553.860
2013/14 Approved Quarter 2 Budget		414.225
Budget changes:		
Families & Wellbeing		
Re-profiling budgets		0.977
New Projects (fully funded by grant/contributions)		-
New Projects (unsupported borrowing)		-
Rephasing to latter years	-	6.380
Removal of Project/Budget (saving)		-
Resources & Strategic Commissioning		
Re-profiling budgets		0.056
New Projects (fully funded by grant/contributions)		-
New Projects (unsupported borrowing)		-
Rephasing to latter years	-	3.661
Removal of Project/Budget (saving)	-	0.057
<i>Replacement & Purchase of Print Unit Equipment</i>	- 0.012	
<i>SAP Continuity & Resilience Improvements (via Virtualisation)</i>	- 0.009	
<i>Planning Improvement Plan</i>	- 0.036	
Economic Regeneration, Growth & Environment		
Re-profiling budgets		0.045
New Projects (fully funded by grant/contributions)		-
New Projects (unsupported borrowing)		1.385
<i>Southern Gateway - Joint Venture Company</i>	1.385	
Rephasing to latter years	-	10.904
Removal of Project/Budget (saving)	-	1.438
<i>Priority Transport Infrastructure (design & development)</i>	- 1.438	
Invest to Save Programme		
Re-profiling budgets		-
New Projects (fully funded by grant/contributions)		-
New Projects (unsupported borrowing)		-
Rephasing to latter years	-	194.132
Removal of Project/Budget (saving)	-	5.747
<i>Vehicle Replacement</i>	- 0.247	
<i>Empty Properties</i>	- 1.000	
<i>Omega Infrastructure</i>	- 1.500	
<i>Refinancing of Network Warrington Pension Fund Deficit</i>	- 3.000	
Total Changes		- 219.856
2013/14 Quarter 3 Revised Budget		194.369

Projects not yet programmed

Project Description	2013/14 Quarter 3 £m	Detailed Description of Project
Families & Wellbeing		
Development of specialist profound & multiple learning disabilities (PMLD) unit	0.010	Develop space that is not currently utilised in Gorse Covert Day Centre in order to offer specialist provision for people with profound and multiple learning disabilities (PMLD). The new unit would accommodate 8 - 10 service users. Currently people with PMLD are accommodated out of borough. Developing an in house service would massively reduce costs.
Development of Social Hub at Woodleigh	0.010	Develop current building at Woodleigh Community Support Centre into a multi-purpose social care hub.
Resources & Strategic Commissioning		
Town Centre Events	0.070	Town Centre Events and Animation Infrastructure.
Economic Regeneration, Growth and Environment		
Travelling Show people Site Relocation	0.400	To acquire a suitable site and relocate two families of Travelling Show people currently located on Winwick Street behind Central Car Hire within the Stadium Quarter area of the Town Centre.
Enhancement of car park and associated facilities at Victoria Park	0.040	Redesign and redevelopment of car parking facilities at Victoria Park including appropriate drainage provision.
Southern Gateway - Joint Venture Company	1.385	Joint Venture Company with Langtree Land and Property Plc to create a southern gateway into the town. Delivery of a masterplan comprising of new homes, offices, hotel and retail.
Town Centre - empty shops grant	0.093	Setting up of a grant fund to promote the renovation of empty shops.
Invest to Save Programme		
Affordable Housing	0.200	Redevelopment of the former sheltered housing scheme at Penketh Court with 43 affordable units for rent for the elderly.
Total Projects not yet programmed	2.208	

VARIANCES TO THE PROGRAMME IN EXCESS OF £100K

Major Project Variances (over £100k)	Q2 - Q3 Variance £m	Comments
SEN Review - relocation of Fox Wood and Green Lane	- 1.208	Re-phasing into latter years
Community Hub Development	- 0.750	Re-phasing into latter years
Development of Social Hub at Woodleigh	- 0.690	Re-phasing into latter years
Base for an Integrated Service for Disabled Children	- 0.588	Re-phasing into latter years
Dallam Estate Project	- 0.500	Re-phasing into latter years
Development of Orford Youth Base & Outdoor/Mobile Youth Provision	- 0.446	Re-phasing into latter years
Orford Lane/St Peters Way Community Centre	- 0.390	Re-phasing into latter years
Replacement of Families and Wellbeing Social Care Case Recording System	- 0.350	Re-phasing into latter years
Development of specialist profound & multiple learning disabilities (PMLD) unit	- 0.287	Re-phasing into latter years
Children's Residences Maintenance Works	- 0.217	Re-phasing into latter years
Improvement to Hazardous Route	- 0.200	Re-phasing into latter years
Short Breaks Capital Fund - children with disabilities	- 0.132	Re-phasing into latter years
Replace and upgrade social care client record systems	- 0.126	Re-phasing into latter years
Private housing - renewal assistance	- 0.100	Re-phasing into latter years
Disabled adaptations to private housing	- 0.128	Re-profiling budget
Woolston Primary School Replacement	0.134	Re-profiling budget
Schools Capital Works (revenue to capital contributions)	0.751	Re-profiling budget
Families & Wellbeing Major Variances	- 5.227	
Capitalisation of Redundancy & Pension Costs	- 3.281	Re-phasing into latter years
Capital Investment in Community Capacity	- 0.147	Re-profiling budget
Resources & Strategic Commissioning Major Variances	- 3.428	
Priority Transport Infrastructure (design & development)	- 1.438	Budget saving following budget/spend review
Southern Gateway - Joint Venture Company	1.385	New bid - approved at December Executive Board
Victoria Park Regeneration Phase 2 - New Sports Facilities	- 1.100	New bid - approved at December Executive Board & re-phasing into latter years
Travellers Transit Site	- 1.960	Re-phasing into latter years
Building Maintenance Programme	- 1.358	Re-phasing into latter years
Sankey Canal Restoration Project	- 1.240	Re-phasing into latter years
Vehicle & Plant Asset Replacement Programme (Environmental Operations)	- 1.182	Re-phasing into latter years
Bank Park Enhancements & Improvement	- 0.608	Re-phasing into latter years
Estate Action (Partnership with Golden Gates Housing)	- 0.480	Re-phasing into latter years
Victoria Park Improvement	- 0.393	Re-phasing into latter years
Sankey Valley Park Improvement	- 0.352	Re-phasing into latter years
Enhancement of car park and associated facilities at Victoria Park	- 0.320	Re-phasing into latter years
LSTF Junction Improvements	- 0.255	Re-phasing into latter years
Shaw Street, Culcheth Car Park and Recreational Facilities	- 0.208	Re-phasing into latter years
Warrington Allotments Improvement Programme	- 0.206	Re-phasing into latter years
Walton Lea Crematorium - traffic management improvements	- 0.150	Re-phasing into latter years
Major Gateway Improvements	- 0.150	Re-phasing into latter years
S106 Lakeside Drive	- 0.136	Re-phasing into latter years
Road Maintenance	- 0.150	Re-profiling budget
Bridge Maintenance	0.150	Re-profiling budget
Fleet replacement programme (Public Realm services)	- 0.115	Re-profiling budget & re-phasing into latter years
Economic Regeneration, Growth & Environment Major Variances	- 10.266	
Refinancing of Network Warrington Pension Fund Deficit	- 3.000	Budget saving following budget/spend review
Omega Infrastructure	- 1.500	Budget saving following budget/spend review
Empty Properties	- 1.000	Budget saving following budget/spend review
Vehicle Replacement	- 0.247	Budget saving following budget/spend review
Loans to Registered Social Landlords	- 167.000	Re-phasing into latter years
Strategic Property Investment Programme to support Regeneration & Investment Portfolio	- 12.882	Re-phasing into latter years
Stadium Quarter Phase 1	- 9.500	Re-phasing into latter years
Affordable Housing	- 2.300	Re-phasing into latter years
Shared Ownership Mortgages (Local Authority Partnership Purchase)	- 1.000	Re-phasing into latter years
Various Vans/Tippers	- 0.750	Re-phasing into latter years
Climate Change - Carbon Reduction Programme	- 0.700	Re-phasing into latter years
Invest to Save Major Variances	- 199.879	
Total Major Variances (over £100k)	- 218.800	

NEW BIDS APPROVED AT EXECUTIVE BOARD

UNSUPPORTED BORROWING PROJECTS

Project Description	2013/14 £m	2014/15 £m	2015/16 £m	Total Borrowing £m	Comments
New Projects - unsupported borrowing					
Economic Regeneration, Growth & Environment: Southern Gateway - Joint Venture Company	1.385			1.385	New bid - approved at December Executive Board
Total New Projects (unsupported borrowing)	1.385	-	-	1.385	

PROPOSED NEW BIDS 2013/14 – 2015/16

No. of Bids	Capital Bid Description (New Bids 2013-16)	Capital Funding Required			TOTAL	Estimated Life of the Asset	Average Yearly Repayment of Borrowing Costs	Description of Project
		2013/14 £m	2014/15 £m	2015/16 £m				
1	Delivering Wellbeing in Bewsey and Dallam Wellbeing Project	0.070	1.015	1.015	2.100	25	0.175	To support the environmental improvements that will see an improvement in roads, footpaths and public realm in the area.
2	Orford Lane/St Peters Way Community Centre (additional to £400k already approved)	0.250			0.250	50	0.013	A new Community Centre to be sited on the corner of Scott St/Battersby Lane near the Albion public house. This will also serve the newly developed area to the east of Battersby Lane. The extra £250k allocation is to pay for the increased forecast cost of the scheme.
Total		0.320	1.015	1.015	2.350		0.188	

WARRINGTON BOROUGH COUNCIL

EXECUTIVE BOARD – 10 March 2014

Report of Executive Board Member:	Councillor David Keane, Executive Board Member, Environment and Public Protection	
Executive Director :	Andy Farrall, Executive Director, Economic Growth, Regeneration and Environment	
Senior Responsible Officer:	John Rowson, Operations Manager	
Contact Details:	Email Address: jrowson@warrington.gov.uk	Telephone: 01925 442750
Key Decision No.	N/A	
Ward Members:	All	

TITLE OF REPORT: ALLOTMENTS TASK GROUP

1. PURPOSE

1.1 This report outlines the recent work of the Allotments Task Group.

2. CONFIDENTIAL OR EXEMPT

2.1 The report is not confidential or exempt.

3. INTRODUCTION AND BACKGROUND

3.1 The Allotments Task Group was established in January 2012 with the terms of reference as attached as Appendix 1.

3.2 The Task Group has met on several occasions and undertaken site visits to Blackpool and also the Victoria Park allotment site.

4. AREAS OF WORK UNDERTAKEN/PROPOSED

4.1 Waiting List

The Task Group has discussed the size of the waiting list which led to all people on the list being contacted by officers to determine whether they still were interested in obtaining an allotment. At the time (April 2012) there were 384 on the list but a considerable number did not reply. By July 2013 the waiting list had risen to 425. Subsequently those people who hadn't replied

were again contacted and advised that they would be removed from the list if they did not respond – this resulted in 110 people being removed. The waiting list now (February 2014) stands at 382.

An analysis shows that in the last three years the Council has received 224 new requests to be added to the waiting list and in the same period only 92 existing tenancies were terminated.

4.2 Plot Size

In order to try to reduce the waiting list the service has, for a number of years, been halving the plot size when an allotment is vacated (the size of a full plot is 250 square metres). Following site visits to Blackpool and Victoria Park the Task Group has endorsed this approach which will mean over a period of time the size of a standard plot will reduce to approximately 125 square metres.

4.3 Improvements to sites

The site visit to Blackpool highlighted that a well maintained site generates well maintained plots with an element of pride being instilled in the allotment holders. As there was an opportunity for capital funding available officers with the Task Group's support, bid for and had approved £206,000 for site improvements. These improvements are now being actioned with works shortly commencing on six sites for the installation of six composting toilets. Other works will include improvements to pathways, water pressure/stand pipes, storage and some security fencing.

4.4 Summary

The Task Group has been working with officers to address the allotments service and provision provided by the Council. This has led to some capital improvements being commenced and a review of the waiting list and future size of allotments the Council will offer. The demand for allotments currently far outweighs those available as the turnover is low compared with applications.

4.5 Further Work

It is proposed that the Task Group continues to work with officers to seek ways to improve the number of allotments available. There are a number of options available and these will be worked through with officers (e.g. seeking voluntary splitting of existing allotments, working with partners to provide sites not necessarily in Council ownership, seeking contributory funding).

5. **FINANCIAL CONSIDERATIONS**

- 5.1 Any agreed further works/improvements will be contained within the existing revenue/capital budget for allotments.

- 5.2 The estimated out-turn for the service for 2013/14 is approximately £3,000. It is intended as part of Medium Term Financial Plan to make the service cover its costs in future years, particularly once the capital investment in the sites has been completed.

6. RISK ASSESSMENT

- 6.1 N/A.

7. EQUALITY AND DIVERSITY / EQUALITY IMPACT ASSESSMENT

- 7.1 The current improvement works are addressing access issues by improving footpaths.
- 7.2 The further work of the task group will consider how to increase the number of allotments provided thereby increasing availability.

8. CONSULTATION

- 8.1 The report fulfils the responsibilities of the Task Group to report back to the Executive Board.

9. REASONS FOR RECOMMENDATION

- 9.1 To discharge the responsibilities of the Task Group as determined by the Executive Board.

10. RECOMMENDATION

- 10.1 The Executive Board is recommended to:
- (1) Note the work undertaken by the Allotments Task Group to date.
 - (2) Note the further work proposed with regard to increasing numbers of allotments available.

11. BACKGROUND PAPERS

Not applicable.

APPENDIX 1

TERMS OF REFERENCE**ALLOTMENTS - EXECUTIVE BOARD TASK GROUP****1.0 Background**

- 1.1 The Allotments Executive Board Task Group was established by the Labour Administration in January 2012.
- 1.2 There is no specific budget assigned to the Allotments Task Group.
- 1.3 Membership of the group is achieved on a political proportionality basis and as such will be reviewed each civic year.
- 1.4 For the civic year 2011/12, the proportionality split is 2:1:1 = 4 Members. Nominations will be sought from the political parties.
- 1.5 Officer support in co-ordinating the meetings will be provided by Environment & Regeneration.

2.0 Purpose

The purpose of the Allotments Task Group is to provide a reference group to assist in the review of Allotment provision and management.

3.0 Delivery of Objectives

To deliver these objectives, the Allotments Task Group should have access to:

- Full information on the current provision and management of allotments.
- Relevant policies and data.
- Relevant bench marking data and best practice from other local authorities.

4.0 Meetings of the Allotments Task Group

- Are not statutory meetings and are therefore not constrained by legislative requirements;
- Do not have any decision making powers;
- Will make recommendations to the Executive Board, as required;
- Will provide an annual progress report to the Executive Board;

WARRINGTON BOROUGH COUNCIL

EXECUTIVE BOARD – 10 March 2014

Report of Executive Board Member: Councillor Pat Wright, Executive Board Member, Health and Wellbeing and Adult Services

Executive Director: Kath O'Dwyer, Executive Director, Families and Wellbeing

Senior Responsible Officer: Francis Pacey, Head of Service, Contract and Commissioning

Contact Details: **Email Address:** **Telephone:**
fpacey@warrington.gov.uk 01925 443571

Key Decision 066/13

Ward Members: All

TITLE OF REPORT: JAMES LEE HOUSE CONTRACT AWARD

1 PURPOSE

1.1 To seek approval to exempt James Lee House – Supported Accommodation for Single Men at risk of homelessness from the requirement to comply with Warrington Borough Council Financial Regulations Contract Procedure Rules:

- CR6 – Where a proposed contract is likely to exceed the relevant EU Threshold but is Part B exempt service a waiver may be granted by the Council's Executive Board only; and
- CR9 – the purchase of proprietary or patented goods or materials or services which in the opinion of the Executive Director are obtainable only from one firm and where no reasonably satisfactory alternative is available.

1.2 To seek approval to award a 1 year (plus optional 1 year extension) contract to The Salvation Army for the provision of supported accommodation to single homeless males at James Lee House, Brick Street, Warrington.

2. CONFIDENTIAL OR EXEMPT

2.1 The report is not confidential or exempt.

3. INTRODUCTION AND BACKGROUND

- 3.1 James Lee House provides 54 units of Supported Accommodation for single men at risk of homelessness and is currently provided by The Salvation Army. Located in Brick Street, close to Warrington Town Centre the service is located in purpose built accommodation and is subject to a complex set of accommodation management arrangements.
- 3.2 The property is managed by Your Housing Group however is ultimately owned by The Salvation Army, who then enter in to a range of Management Agreements to deliver the full range of services at James Lee House.
- 3.3 The service provides short term support (less than two years) to enable single homeless men maintain their accommodation at James Lee House, providing access to training and education and to enable individuals to be 'resettled' in to more independent accommodation. The service also participates in Warrington No Second Night Out initiative, which is a multi-agency approach to ensuring that individuals have access to support and accommodation within 24 hours of being identified as being at risk of Rough Sleeping. In addition James Lee House is a key partner in the Warrington Severe Weather Emergency Protocol (SWEP), which ensures that in times of severe weather additional emergency support and accommodation is available for people at risk of Rough Sleeping.
- 3.4 Access to support is available 24 hours per day, 365 days a year and is provided by Your Housing. The service was originally commissioned through the Warrington Borough Council Supporting People Programme.
- 3.5 Access to accommodation and support at James Lee House is primarily managed through Warrington Borough Council (WBC) Housing Plus Service who have priority nomination rights for any vacancies at James Lee House to ensure that priority access is secured for individuals with a local connection. Where WBC Housing Plus is unable to identify an individual to be accommodated, The Salvation Army are able to accept eligible direct referrals from other agencies or individuals in line with their agreed access criteria.
- 3.6 The current contract for the service has been in place since 1 April 2003 and has been extended in increments by variation through the Council's Strategic Procurement Panel to 31 March 2014, in line with contract procedures.
- 3.7 The current contract value is £352,000 per annum (pa) having been reduced from the original contract value of £427,000 p.a. through working in partnership with The Salvation Army to reduce unit costs and re-model service delivery.
- 3.8 Service quality, delivery and performance remain consistently high including:
 - Occupancy for the period of October 2012 to October 2013 was 97%;

- There were 99 planned moves (79%) from James Lee house into more independent accommodation for the period of October 2012 to October 2013;
 - There were 26 unplanned moves (21%) from James Lee House for the period of October 2012 to October 2013;
 - 178 single men at risk of homelessness accessed the service for the period of October 2012 to October 2013.
- 3.9 James Lee House was subject to a previous procurement exercise during 2012 which The Salvation Army indicated that it would not allow an alternative service provider to deliver the support service at James Lee House. As a consequence of The Salvation Army's decision concerning the use of their accommodation, following advice from Legal Services and Corporate Procurement Team, the previous tender exercise was terminated. However, the Salvation Army and Warrington Borough Council have continued to work in partnership to improve access to James Lee House, develop service quality and ensure value for money.
- 3.10 James Lee House is a key service in delivering the Homelessness Strategy, and Community Safety Strategy.
- 3.11 A revised service specification and associated service delivery model is currently under review to ensure that any commissioned services delivered by The Salvation Army at James Lee House better reflect the Homeless Strategy, the aspirations of service users and can be flexible to take account of the pending outcomes and recommendations of the Homeless Commission.
- 3.12 An evaluation of the market has demonstrated that there is no genuine alternative supplier of this service in Warrington. So, given the position of The Salvation Army on the use of its accommodation and the continued positive work that has taken place, it is proposed the Council's contract procedure roles CR6 and CR9 (as detailed in clause 1.1 above) are waived to enable the award of a contract to The Salvation Army to continue to deliver an improved service to single men at risk of homelessness.

4. FINANCIAL CONSIDERATION

- 4.1 The current value of this contract is £352,000, which has been reduced from its original value in 2003 of £427,000, for the delivery of the support service at James Lee House. Housing Benefit provides additional funding, for those individuals that are eligible, in relation to rent, housing management and service charges. Individual tenants also pay approximately £38 per week for services (food etc.) which is not covered by Housing Benefit.
- 4.2 As part of the ongoing contract management of James Lee House a revised potential service model as been considered which indicates further possible savings of approximately £52,000 p.a. resulting in a reduced contract price of approximately £300,000 p.a. These savings would be achieved by reduced unit costs and an alternative staffing model which maintains frontline support staff hours with reduced management and administrative service delivery.

- 4.3 In light of the current position of The Salvation Army, the opportunities to re-model the service indicated in 4.2 above, the award of this contract to The Salvation Army would provide the Council with continued provision of a sustainable, well utilised, quality service whilst also providing enhanced value for money.

5. RISK ASSESSMENT

- 5.1 The absence of a contract award for the continuation of the support service by The Salvation Army would result in the existing accommodation provider (The Salvation Army) continuing to deliver an accommodation service to homeless people. As a consequence Warrington Borough Council would have significantly limited influence over access arrangements, service quality or overall risk management both within the service or surrounding locality, which could result in a poorer service or limited service for Warrington residents.
- 5.2 The market assessment has provided evidence that there is no genuine competition to the service currently provided by The Salvation Army.

6. CONSULTATION

- 6.1 Through the contract monitoring process and the access arrangements with Warrington Borough Council Housing Plus Team a range of consultation activities have been undertaken including and learning from these activities include:
- Service user feedback was positive regarding the support on offer at the service. Suggestions service users made during consultation regarding client contribution and catering arrangements have been considered and are being discussed with regards to a potential revised specification;
 - Stakeholder feedback for this service has been positive regarding service user access, support and resettlement opportunities and further discussions will be undertaken to improve access arrangements for individuals with a local connection;
 - Consultation with the existing provider, The Salvation Army, has indicated a willingness to work in partnership with Warrington Borough Council to further develop current access arrangements, to develop accommodation options and to identify the opportunity to deliver further efficiencies and improve service quality.

7. REASONS FOR RECOMMENDATION

- 7.1 A direct contract award to The Salvation Army, in line with the Council's Financial Regulations to
- :
- (a) ensure continued provision of supported accommodation for single men at risk of homelessness;
 - (b) Support the delivery of the Warrington Homelessness Strategy and Warrington Community Safety Strategy;

- (c) Ensure that sufficient and appropriate supported accommodation for single men at risk of homelessness exists to assist the Council in discharging its statutory duties in relation to homelessness, contribute to the delivery of the No Second Night Out Initiative and Severe Weather Emergency Protocol; and
- (d) Potential to further develop the existing service model and delivery to improve service quality, outcomes for individuals and identify and deliver further cost efficiencies.

7.2 The current set of circumstances concerning the accommodation and delivery of the on site support service has provided the Council with sufficient evidence to suggest that no genuine competition can be obtained for the supply of this service.

7.3 The outcome of the Warrington Homeless Commission may lead to variations in the scope and focus of the services that are being commissioned, with service delivery being developed further in light of the Commission's recommendations. Through the robust commissioning approaches, we are confident that James Lee House will have a continued significant role in the delivery of services for individuals at risk of homelessness.

8. RECOMMENDATION

8.1 It is recommended that the Executive Board:

- (i) Exempt this service from the requirement to comply with Warrington Borough Council Financial Regulations (Contract Procedure Rules CR6 & CR9); and
- (ii) Approve the award of a one year (plus optional 1 year extension) contract for the provision of supported accommodation for single men at risk of homelessness.

9. BACKGROUND PAPERS

Executive Board Report dated 22 May 2012
 Revised Contract Specification
 Tender Documents from 2011/12 including service user consultation
 Homeless Commission Service User feedback report

Contact for Background Papers:

Name	E-mail	Telephone
Frank Pacey	fpacey@warrington.gov.uk	01925 443571

WARRINGTON BOROUGH COUNCIL

EXECUTIVE BOARD – 10 March 2014

Report of Executive Board Member:	Councillor P Wright, Executive Board Member, Health and Wellbeing and Adult Services	
Executive Director:	Kath O'Dwyer Executive Director Families and Wellbeing	
Senior Responsible Officer:	Frank Pacey, Head of Service Contracts and Commissioning	
Contact Details:	Email Address: fpacey@warrington.gov.uk	Telephone: 01925 443571
Key Decision No.	067/13	
Ward Members:	All	

TITLE OF REPORT: VERVE PLACE WAIVER OF FINANCIAL REGULATIONS AND DIRECT CONTRACT AWARD

1 PURPOSE

- 1.1 To seek approval to exempt the Verve Place Supported Accommodation Service for young people from the requirement to comply with Warrington Borough Council Financial Regulations under Contract Procedure Rules:
- CR6 – Where a proposed contract is likely to exceed the relevant EU Threshold but is Part B exempt service a waiver may be granted by the Council's Executive Board only; and
 - CR9 – the purchase of proprietary or patented goods or materials or services which in the opinion of the Executive Director are obtainable only from one firm and where no reasonably satisfactory alternative is available.
- 1.2 To seek approval to direct award a one year (plus optional one year extension) contract to Your Housing Group for the provision of supported accommodation to young people at risk at Verve Place, Mersey Street, Warrington.

2. CONFIDENTIAL OR EXEMPT

- 2.1 The report is not confidential or exempt.

3. INTRODUCTION AND BACKGROUND

- 3.1 Verve Place is a supported housing service for young people at risk of homelessness and is currently provided under an existing contract by Your Housing Group (formerly Arena Housing). Verve Place provides 38 units of Supported Accommodation, with access to education and training, for young people aged between 16 and 25 years who are at risk of homelessness.
- 3.2 The current contract has been in place since 7 April 2008 and has been extended in increments by variation until 31 March 2014.
- 3.3 Verve Place is located on Mersey Street in Warrington town centre. The service is provided in purpose built accommodation funded via a private finance initiative. Verve Place includes 38 self-contained flats, IT suite, residents lounge, training rooms and laundry facilities provided by Your Housing.
- 3.4 The service provides short term support (less than two years) to enable young people to maintain their tenancy at Verve Place, providing access to training and education and to enable individuals to be 'resettled' in to more independent accommodation. Access to support is available 24 hours per day, 365 days a year and is provided by Your Housing. The service was originally commissioned through the Warrington Borough Council Supporting People Programme.
- 3.5 The current contract value is £241,900 p.a. having been reduced from the original contract value of £264,500 through working in partnership with Your Housing to reduce unit costs whilst maintaining original service delivery.
- 3.6 Verve Place is a key service in enabling both Warrington Housing Plus and Warrington Borough Council's Families and Wellbeing Directorate to discharge their statutory duties in relation to young people at risk of homelessness and looked after children.
- 3.7 A part of the continued development of the service revised multi –agency allocation panel has been piloted established across key partners to ensure the most effective use of Supported Accommodation for Young People in Warrington. Stakeholder feedback indicates that the allocation panel has been effective in assisting the Council's Housing Plus and Families and Wellbeing Directorate to meet their duties around accommodation and support.
- 3.8 Service quality, delivery and performance remain consistently high including:
 - Occupancy for the period of October 2012 to October 2013 was 98%;
 - There were 41 planned moves (77%) from Verve Place into more independent accommodation for the period of October 2012 to October 2013;

- There were 12 unplanned moves (23%) from Verve Place for the period of October 2012 to October 2013;
- 91 young people accessed the service for the period of October 2012 to October 2013;
- The existing provider (Your Housing) was assessed as an overall Level B of the Quality Assessment Framework in December 2013.

3.9 The service and the associated accommodation were developed through a Private Finance Initiative (PFI) in partnership with Your Housing Group and Warrington Borough Council. The PFI agreement links a range of factors including the level of occupancy of the Supported Accommodation service directly to payments made to Your Housing under the terms of the PFI agreement. The payment made under the PFI agreement represents a significant financial contribution and risk to the delivery of the overall supported accommodation.

4. MARKET TEST PROCESS AND OUTCOME

4.1 In light of the complex Private Finance Initiative (PFI) arrangements and associated delivery risks a market testing exercise was undertaken to determine the future commissioning and procurement options. The market test exercise was undertaken to determine:

- The level of interest in the market to provide this service; and
- Indicative prices should this service be exposed to competitive process.

4.2 A notice inviting organisations to participate in the market testing exercise was placed on the Chest on 11 September 2013. By the closing date of 25 October 2013 the Council received nine on-time submissions.

4.3 Of the nine organisations, one of the submissions came from an organisation that did not provide any pricing information and therefore was disregarded when evaluating the market testing questionnaire. Appendix 1 sets out the quality and costs for all submissions.

Service Price

4.4 As part of the market test eight submissions were evaluated out of a maximum score of 40% for price as follows:

- (a) The lowest indicative price submitted for providing the Service is £222,760.00;
- (b) The highest indicative price submitted for providing the service is £355,733.00.

4.5 Three organisations submitted lower indicative prices than current contract value (£230,000, £229,983 and £222,760).

Service Quality

- 4.6. As part of the market test nine submissions were evaluated out of a maximum score of 60% for quality as follows:
- (a) The quality scores ranged from 4% to 32% out of a possible 60%.
 - (b) The organisation that scored highest in terms of quality was 37% (£89,969) more expensive than the current price paid by the Council.

5. FINANCIAL CONSIDERATION

- 5.1 The current value of this contract is £241,909, which has been reduced from £264,500 for the delivery of the support service, with Housing Benefit providing additional funding in relation to individual rent, security of the building and service charges.
- 5.2 The current service provider, Your Housing, has indicated the potential to provide further efficiencies and reductions in contract price by working in partnership with Warrington Borough Council to further re-model the existing service.
- 5.3 The delivery of the service at Verve Place is subject to a range of complex PFI arrangements. Your Housing has indicated their intention to transfer the risks associated with PFI payment to any future alternative support provider. As a consequence, the transference of this risk to any future alternative support provider may significantly restrict the number of organisations who are prepared to enter in to an agreement to provide the support service at Verve Place.
- 5.4 As the incumbent provider Your Housing group were not required to participate in the Market test exercise. The current service delivery model, funding and existing value for money analysis has been compared against those submissions received through the Market Test. Appendix 3 details the comparison undertaken across the existing service model and those submitted through the Market Test. This indicates that the current service delivered by Your Housing is:
- Lowest cost per support hour
 - Provides the highest number of Direct Support Hours
 - 3rd lowest overall contract value
 - Delivers a sustainable funding model across the 2 funding streams (Supporting People and Housing Benefit) for the overall operation of the service
 - Service Quality assessed at Level B of the Quality Assessment Framework .
- 5.5 In light of the market test, the opportunities to re-model the service as indicated in 5.2 and the financial risks identified in 5.3 it is proposed to award this contract to Your Housing Group. This would provide the Council with

continued provision of a sustainable well utilised quality service whilst also providing value for money.

6. RISK ASSESSMENT

- 6.1 The complex set of PFI arrangements may result in a potential significant financial risk for any alternative support provider.
- 6.2 In line with other organisations that provide both the accommodation and support in an individual service the board of Your Housing Group are currently considering their position in relation to allowing a different support provider to deliver services in their accommodation. Both within Warrington and across the North West there is a clear move by accommodation providers to refuse to enter in to agreements with alternative support providers.
- 6.3 Taking the above into account it is therefore unlikely that an alternative provider would be identified.
- 6.4 If this contract was to be awarded to Your Housing Group, who have a track record of providing supported accommodation services in Warrington and nationally, there would be minimal risk of contractor failure. The contract monitoring framework provides measures to protect the interests of the council.

7. CONSULTATION

- 7.1 Through the Contract Monitoring process, the market test and the access pilot undertaken in partnership with Your Housing and the Council a range of consultation activities have been undertaken and feedback includes:
- Service user feedback was positive regarding the support on offer at the service;
 - Stakeholder feedback for this service has been positive regarding service user access, support and resettlement opportunities; and
 - Consultation with the existing accommodation and support provider (Your Housing) have indicated a willingness to work in partnership with Warrington Borough Council to further develop current access arrangements, improve education and training opportunities and to identify the opportunity to deliver further efficiencies.

8. REASONS FOR RECOMMENDATION

- 8.1 A direct contract award to Your Housing Group, in line with the Council's Financial Regulations:
- To ensure continued provision of supported accommodation for young people at risk;

- Organisations who submitted a response to the market test scored low in terms of quality (highest score was 32% out of a possible 60%) due to lack of experience in providing similar services to this client group;
- The market test indicated limited opportunity to delivery significant financial savings when compared to current contract value;
- Only one organisation acknowledged understanding of PFI agreements and how they would manage the service to meet targets, this provider submitted a cost that was 37% higher than the current provider;
- The market testing exercise has provided the Council with sufficient evidence to suggest that no genuine competition can be obtained for the supply of this service.

8.2 The outcome of the Warrington Homeless Commission may lead to variations in the scope and focus of the services that are being commissioned, with service delivery being developed further in light of the Commissions recommendations. Through the robust commissioning approaches, we are confident that Verve Place will have a continued significant role in the delivery of services for Young People at risk of homelessness.

9. RECOMMENDATION

9.1 It is recommended that the Executive Board:

- (i) Exempt this service from the requirement to comply with Warrington Borough Council Financial Regulations (Contract Procedure Rules):
 - CR6 – Where a proposed contract is likely to exceed the relevant EU Threshold but is Part B exempt service a waiver may be granted by the Council’s Executive Board only; and
 - CR9 – the purchase of proprietary or patented goods or materials or services which in the opinion of the Executive Director are obtainable only from one firm and where no reasonably satisfactory alternative is available.
- (ii) Approve the award of a one year (plus optional one year extension) contract for the provision of supported accommodation for young people at risk homelessness to Your Housing Group.

10.	BACKGROUND PAPERS	
	Revised Contract Specification for access pilot Quality Assessment Framework – December 2013 Market Test documentation, evaluation workbook and report.	
Contacts for Background Papers:		
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Appendix 1 – Market Test Report

**MARKET TESTING QUESTIONNAIRE
EVALUATION REPORT**

For the supply of:

**SUPPORTED HOUSING SERVICE FOR YOUNG
PEOPLE (16-25)**

**AS PART OF THE SUPPORTING PEOPLE
PROGRAMME**

Ref: NWCE-9BFLES

Warrington Borough Council,
Commissioning and Contracts,
New Town House
Buttermarket Street
Warrington,
WA1 2NH

Contact :
Megan Browne
Contracts Officer,
Tel: 01925 444285
Email: mbrowne@warrington.gov.uk

1. PURPOSE OF THE REPORT

- 1.1 To advise the outcome of the market testing questionnaire evaluation process for the provision of a Supported Housing service for young people (16-25) and to recommend the process for service re provision.

2. BACKGROUND

- 2.1 Verve Place the supported housing service for young people is currently provided under contract by one organisation, Your Housing Group.

- 2.2 The current contract has been in place since 7th April 2008 and has been extended in increments by variation until 31st December 2013.

- 2.3 Contracts and Commissioning met with the Head of Service for Integrated Contracts, to discuss possible approaches to service delivery. It was agreed that it would be appropriate to conduct a market testing exercise in order to determine:

2.3.1 the level of market interest in providing this service;

2.3.2 indicative prices, should the service(s) be exposed to competitive pressures.

- 2.4 The results of the market testing exercise would determine the benefits of exposing the service(s) to competitive tender and in turn whether it would be appropriate to request a waiver to the Council's Contract Procedure Rules (ref : CR4).

3. PROCESS

- 3.1 The purpose and process of the market testing exercise has been discussed and explained to the incumbent provider and Officers from WBC have been in contact with Your Housing Group during this process.

- 3.2 A notice inviting organisations to participate in the market testing exercise was placed on the Chest on the 11th September 2013.

- 3.3 By the closing date of 25th October 2013 the council had 9 on time submissions.

- 3.4 The market testing questionnaires were evaluated by the Contract Officer.

4. FINDINGS

- 4.1 Data from the market testing questionnaire can be seen at section 7 of this report.

- 4.2 Of the 9 organisations, one of the submissions came from an organisation and did not provide any pricing information and therefore has be disregarded when evaluating the market testing questionnaire.
- 4.3 The cost of the current service is £241,909.00 per annum.
- 4.4. Three organisations submitted lower indicative prices than WBC currently pay (£230,000, £229,983 and £222,760).
- 4.5 These organisations were rated 8th, 3rd and 5th out of 9 in terms of quality scoring.
- 4.6 The organisation that scored highest in terms of quality was 37% more expensive than the current price that WBC are paying (submitting a price of £331,869 per annum).
- 4.7 The table below shows the difference between the price currently paid to Your Housing Group and the prices submitted in the market testing questionnaires.

	Direct Costs	%	Indirect Costs	%	Total
Provider 1	£ 225,739.00	84	£ 43,859.00	16	£269,598.00
Provider 2	£ 285,691.00	87	£ 43,468.00	13	£329,159.00
Provider 3	£ 191,374.95	65	£ 103,048.05	35	£294,423.00
Provider 4	£ 247,598.08	75	£ 84,270.84	25	£331,868.92
Provider 5	£ 291,395.00	82	£ 64,378.08	18	£355,773.08
Provider 6	£ 202,760.00	91	£ 20,000.00	9	£222,760.00
Provider 7	£ 200,000.00	87	£ 30,000.00	13	£230,000.00
Provider 8	£ -		£ -		£ -
Provider 9	£ 208,150.00	91	£ 21,834.00	9	£229,983.00

- 4.8 The full breakdown of the cost evaluation can be seen at section 7.1.

5. SUMMARY

- 5.1 The market testing exercise demonstrated that that there is market interest in the providing the service.
- 5.2 The market testing exercise indicated that there are substantial price differentials, for example:
 - 5.2.1 The lowest indicative price for providing the Service is £222,760.00
 - 5.2.2 The highest indicative price for providing the is £355,733.00
 - 5.2.3 This is a price difference of £132,973.00
- 5.3 The costings submitted demonstrate the various ways the services were priced. With each provider submitting information regarding direct support hours, hourly rates, funding sources, direct and indirect cost breakdowns. Again this information demonstrated substantial costing differentials.
- 5.4 The market testing exercise indicated that there are also substantial quality differentials. The quality scores ranged from 4% to 32%. The quality scores where low due to providers lack of experience providing similar services or

unable to demonstrate validated Quality Assessment Framework (QAF) scores

6. PROPOSED MARKET TEST OUTCOME

6.1 Due to the vast difference in the costs submitted and the low quality scores as detailed in section 5 above it is recommended that WBC direct award this contract to the current provider. This recommendation is made due to the following factors;

6.1.1 Although there is market interest in providing this service organisations scored low in terms of quality due to lack of experience in providing similar services to this client group or within the Supporting People framework.

6.1.2 Three providers did submit lower prices than the current provider however these were only lower by an average of 6%, this would equate to an annual saving of £14,514.54.

6.1.3 Only one organisation that submitted a lower price than the current provider had experience of providing similar services within the Supporting People Framework, they scored 14 out of a possible 30 marks for quality giving them a position of joint 3rd for Quality.

6.1.4 Only one organisation acknowledged understanding of PFI agreements and how they would manage the service to meet targets, this provider submitted a cost that was 37% higher than the current provider. Due to the complexity of PFI arrangements WBC would be at risk of tendering this service and awarding the contract to a provider who has little or no experience of working to PFI targets and therefore putting the service at substantial financial risks.

7. MARKET TESTING QUESTIONNAIRE EVALUATION OVERVIEW

7.1 The table below shows the difference between the price currently paid to Your Housing Group for Verve Place and the prices submitted in the market testing questionnaires by interested organisations over a 3 year period.

PROVIDER	COST PER ANNUM		COST PER 3 YEAR CONTRACT		DIFFERENCE IN COSTS		PERCENTAGE DIFFERENCE TO CURRENT CONTRACT
	COST PER ANNUM SUBMITTED	CURRENT CONTRACT PRICE PER ANNUM	COST PER 3 YEAR CONTRACT SUBMITTED	CURRENT CONTRACT PRICE PER 3 YEARS	ANNUAL COST	3 YEAR COST	
Provider 1	£ 269,598.00	£241,909.00	£808,794.00	£725,727.00	-£27,689.00	-£83,067.00	-11%
Provider 2	£ 329,159.00	£241,909.00	£987,477.00	£725,727.00	-£87,250.00	-£261,750.00	-36%
Provider 3	£ 294,423.00	£241,909.00	£883,269.00	£725,727.00	-£52,514.00	-£157,542.00	-22%
Provider 4	£ 331,868.92	£241,909.00	£995,606.76	£725,727.00	-£89,959.92	-£269,879.76	-37%
Provider 5	£ 355,773.08	£241,909.00	£1,067,319.24	£725,727.00	-£113,864.08	-£341,592.24	-47%
Provider 6	£ 222,760.00	£241,909.00	£668,280.00	£725,727.00	£19,149.00	£57,447.00	8%
Provider 7	£ 230,000.00	£241,909.00	£690,000.00	£725,727.00	£11,909.00	£35,727.00	5%
Provider 8	£ -	£241,909.00	£0.00	£725,727.00	£241,909.00	£725,727.00	N/A
Provider 9	£ 229,983.00	£241,909.00	£689,949.00	£725,727.00	£11,926.00	£35,778.00	5%

Agenda Item 9

7.2 The table below shows quality and cost summary weighted to a percentage:

PROVIDER	QUALITY SUMMARY (WEIGHTED 60%)	COST SUMMARY (WEIGHTED 40%)	TOTAL SCORE (OUT OF 100%)
Provider 1	28%	35%	63%
Provider 2	0%	26%	26%
Provider 3	12%	31%	43%
Provider 4	0%	25%	25%
Provider 5	0%	21%	21%
Provider 6	16%	40%	56%
Provider 7	0%	40%	40%
Provider 8	0%	0%	0%
Provider 9	0%	40%	40%

Appendix 2 – Contract Regulations

CR6 Where a proposed contract is likely to exceed the relevant *EU Threshold* but is not subject to the full rigour of the *EU Procedures* (i.e. *Part B exempt service*), a waiver of any requirement may be granted by the Council's Executive Board only. Any such decision may be a *Key Decision*.

CR9 All waivers and the reasons for them must be recorded in writing using the form in the *Procurement Guide* and must identify the specific Contract Rule or Rules to be waived. Waivers shall be signed by the Executive Director and countersigned by the *Chief Finance Officer*. The forms should be forwarded to *Central Commissioning and Procurement* and reported to the Strategic Procurement Panel at each meeting.

Examples of appropriate circumstances in which waivers may be granted include, but are not in any way limited by, the following:

- the purchase of proprietary or patented goods or materials or services which in the opinion of the appropriate Executive Director are obtainable only from one firm, and where no reasonably satisfactory alternative is available.
- the execution of works or supply of services of a specialised nature which in the opinion of the appropriate Executive Director are carried out by only one or more particular firm(s), and where no reasonably satisfactory alternative is available.
- the purchase of goods or materials, the execution of works or supply of services for which the appropriate Executive Director considers that no genuine competition can be obtained.
- the purchase of a named product required to be compatible with an existing installation as approved by the appropriate Executive Director.

Appendix 3 – Cost Comparisons

Table 1

Provider	Number of annual direct support hours		£/support hour		Funding source	
	Day	Night	Day	Night	Day	Night
CURRENT PROVIDER	12740	5798	£9.95	£10.70	84% SP 16% HB	100% SP
Provider 1	305	70	£12.15	£9.07	100% SP	50% SP 50% HB
Provider 2	15120	4380	£16.88	£26.88	55% SP 45% HB	55% SP 45% HB
Provider 3	11917	7505	£14.00	£17.00	TBC	TBC
Provider 4	14950	4550	£17.56	£15.13	89%SP 31% HB	31% SP 39% HB
Provider 5	19500	8736	£15.25	£13.50	80% SP 20% HB	80% SP 20% HB
Provider 6	9750	7800	£77.95	£32.52	100% SP	80% SP 20% HB
Provider 7	8736		£22.89			
Provider 8			£25.00	£150.00		
Provider 9	17559	2009	£11.97	£9.82	91% SP 9% HB	25% SP 75% HB

Table 2

Provider	Direct Costs	%	Indirect Costs	%	Total
CURRENT PROVIDER	£ 210,434.00	87	£ 31,475.00	13	£ 241,909.00
Provider 1	£ 225,739.00	84	£ 43,859.00	16	£ 269,598.00
Provider 2	£ 285,691.00	87	£ 43,468.00	13	£ 329,159.00
Provider 3	£ 191,374.95	65	£ 103,048.05	35	£ 294,423.00
Provider 4	£ 247,598.08	75	£ 84,270.84	25	£ 331,868.92
Provider 5	£ 291,395.00	82	£ 64,378.08	18	£ 355,773.08
Provider 6	£ 202,760.00	91	£ 20,000.00	9	£ 222,760.00
Provider 7	£ 200,000.00	87	£ 30,000.00	13	£ 230,000.00
Provider 8	£ -		£ -		£ -
Provider 9	£ 208,150.00	91	£ 21,834.00	9	£ 229,983.00

WARRINGTON BOROUGH COUNCIL

EXECUTIVE BOARD – 10 March 2014

Report of Executive Board Member:	Councillor Pat Wright, Executive Board Member, Health, Wellbeing and Adult Services	
Executive Director:	Kath O'Dwyer, Executive Director, Families & Wellbeing	
Senior Responsible Officers:	Chris Ball, Operational Manager Adult Social Care Provision	
Contact Details:	Email: cball@warrington.gov.uk	Telephone: 01925 442136
Key Decision No.	059/13	
Ward Members:	All	

TITLE OF REPORT: GORSE COVERT BUILDING IMPROVEMENTS

1. PURPOSE

- 1.1 The purpose of this report is to provide the Executive Board with the rationale and business case/need for building work improvements at Gorse Covert Day Centre. This report supports the Part 2 report (agenda item 11) seeking approval to the award of contract.

2. CONFIDENTIAL OR EXEMPT

- 2.1 Part 2 of the report (agenda item 11) is to be considered as a Part 2 item being exempt by virtue of category 3 Local Government Act 1972, schedule 12A..

3. INTRODUCTION AND BACKGROUND

- 3.1 Gorse Covert is a structured day centre and the main base of the Specialist Day Services provision within Adult Social Care. It offers specialised and intensive support for people with complex needs, through a range of purpose built units.
- 3.2 The service supports some of the most vulnerable people who receive social care support and includes specialist equipment and facilities to provide care and support to people who have highly complex and sometimes additional health related needs.
- 3.3 Currently the provision provides a service to approximately 150 vulnerable people.

- 3.4 People who attend the service do so primarily on a regular daily basis and are encouraged to participate in a range of different activities and experiences to both enhance their daily lives and provide the required care and support, reassuring families that individuals are cared for in a dignified and person centred way.
- 3.5 Over the past ten years Adult Social Care has undertaken a modernisation programme within day service provision; the guiding principles of which were set out in the Valuing People White Paper 2001 (and subsequent Valuing People Now White Paper 2009). Throughout this period of time, carer and user support for Gorse Covert has been strong and clearly the service is highly valued by those who use it.
- 3.6 In 2012, the Executive Board considered a report entitled “Making the Shift”. The report included various proposals for making changes to the delivery model for Adult Social Care in order to manage increasing demand whilst delivering sustainable long term savings. The Executive Board considered the proposals and approved them for consultation.
- 3.7 Specific consultation was carried out to seek views on four proposals in relation to the current day service provision. This consultation was carried out in parallel with the wider adult social care consultation programme entitled “The Big Care Debate” which was designed to gather views on how to make the shift to a more sustainable model of adult social care.
- 3.8 The resulting outcome of this consultation was the agreement to close Dallam Day Centre, the continued development of smaller, more personalised and local settings for users and approval of a bid for capital funding of £300k to invest at Gorse Covert day centre to improve and develop specialist facilities for people with complex needs.
- 3.9 Subsequently, a period of consultation with users and carers in liaison with buildings design colleagues has led to an agreed design approach for the development of the Gorse Covert building.

4. SUMMARY OF BUILDINGS DESIGN CHANGES

- 4.1 The primary aim of the building work is to improve service delivery, particularly for those service users who have Profound and Multiple Learning Disabilities and complex needs. The design changes will enable the Council to consider those people who receive specialist support out of borough (at significant cost) receiving their service in their locality and in a more cost effective way.
- 4.2 This will provide additional places for future service users with these complex needs.
- 4.3 Specifically, the work will develop two specialist rooms equipped for up to 10 new service users with complex needs, including two specialist change areas (one to be equipped with people with bariatric support needs).

- 4.4 There will also be some general improvement to the fabric of the building based on previous comments received from users and relatives. This will include a redevelopment of the foyer and reception areas, a new disabled toilet and an upgrade of other existing toilet facilities.
- 4.5 The proposed works have been tendered by the Property & Estate Management team and the details are included in the Part 2 report on this agenda.

5. EQUALITY AND DIVERSITY / EQUALITY IMPACT ASSESSMENT

- 5.1 The Equality Act 2010 replaced the existing anti-discrimination laws with a single Act. It included a new public sector Equality Duty, replacing the separate public sector equality duties relating to race, disability and sex, and also covering age, sexual orientation, religion or belief, pregnancy and maternity, and gender reassignment more fully. The Act also protects people from discrimination by association with someone with one or more key protected characteristics. This could apply to carers because of their association with older or disabled people in particular.
- 5.2 The Equality Duty consists of a general duty, set out in the Act itself, and specific duties imposed through regulations. The general duty, which came into force on 5 April 2011, is set out in section 149 of the Act. In summary, those subject to the Equality Duty must have due regard to the need to:
- eliminate unlawful discrimination, harassment and victimisation;
 - advance equality of opportunity between different groups; and
 - foster good relations between different groups
- 5.3 The proposals outlined in the review of day services have been subject to a full Equality Impact Assessment to support the decision-making process. This outlines measures that are in place, or planned, to minimise any adverse impact on a particular equalities groups.
- 5.4 The planned work is specifically aimed at enhancing and improving services for a minority vulnerable group and will make a significant positive impact to this group of people.
- 5.5 Service users and carer consultation has taken place around the design work, with a number of options explored and selected jointly.
- 5.6 Both the historical and recent consultation that has taken place around day services provision has led to significant support to maintaining/developing Gorse Covert day centre. Views from carers around the development has been very positive as people recognise how the improvements will enable more people from this vulnerable group to access and receive services in Warrington.

6. FINANCIAL IMPLICATIONS

6.1 The proposed development will create capacity for up to an additional 10 service users, which will provide opportunities for the Council to commission a locally based service instead of needing to place people out of the Warrington area to receive a similar service. This could lead to some significant financial savings for the council. The costs of the work outlined under the tender report in part 2 of this agenda can be accommodated within the capital programme allocation.

7. REASONS FOR RECOMMENDATION

7.1 Specialist Day Services has been undertaking a programme of development and modernisation over the course of the last ten years.

7.2 The development at Gorse Covert will ensure that provision, particularly for younger people with highly complex needs remains sufficient and able to meet future demands.

8. RECOMMENDATION

8.1 The Executive Board is recommended to note the contents of this report and consider it in conjunction with the Part 2 report on this agenda for the award of contract following the tender process.

9. BACKGROUND PAPERS

Executive Board Report – February 2012
 Making the Shift – New Model of Adult Social Care –

Executive Board Report - August 2012
 Proposed changes to the delivery of Specialist Day Services – Outcome of Consultation

Contacts for Background Papers:

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